

## **CLASS ACTION SETTLEMENT AGREEMENT AND RELEASE**

This Class Action Settlement Agreement and Release (the “Agreement”) is entered into by and between Plaintiff Thomas Gebka (“Gebka” or “Class Plaintiff”), on behalf of himself and the Settlement Class (as defined below), on the one hand, and Defendant Allstate Insurance Company (“Allstate”), on the other hand. The Class Plaintiff and Allstate shall sometimes be collectively referred to as the “Parties.”

The Parties hereby stipulate and agree that, in consideration of the promises and covenants set forth in this Agreement and upon entry by the Court (as defined below) of a Final Approval Order (as defined below), all Released Claims of Class Plaintiff and the Settlement Class Members (as defined below) in the action entitled *Gebka v. Allstate Insurance Company* pending in the United States District Court for the Northern District of Illinois, Case No. 1:19 CV 06662 (the “Action”), shall be forever and fully settled, compromised and released upon the terms and conditions contained herein.

### **1. RECITALS**

**1.1** Allstate Insurance Company is an Illinois insurance company with its corporate Headquarters located in Northbrook, Illinois.

**1.2** On October 8, 2019, Gebka filed the Action, alleging that Allstate violated the Telephone Consumer Protection Act, 47 U.S.C. § 227 (the “TCPA”) by making calls to cell phones without the prior express consent of Gebka or the putative class members in violation of the Do Not Call provisions of the TCPA. On May 20, 2021, Plaintiff filed his Second Amended Complaint alleging violations of the TCPA for: (1) prerecorded calls, and (2) additional calls violating the Do Not Call provisions of the TCPA.

**1.3** Allstate denies all claims asserted in the Action and denies all allegations of wrongdoing and liability.

**1.4** The Parties recognize and acknowledge the expense and length of continued proceedings necessary to prosecute the claims through trial, possible appeals and ancillary actions.

The Parties also have taken into account the uncertain outcome and the risk of any litigation, especially in multi-party actions such as this proceeding, as well as burden, expense, risk, uncertainty, and delays inherent in such litigation.

**1.5** This Agreement resulted from and is the product of extensive, good faith and arm's length settlement negotiations. After extensive discovery and motion practice, the Parties participated in a private mediation before the Honorable Morton Denlow (Ret.) ("Judge Denlow") of Judicial Arbitration and Mediation Services, Inc. ("JAMS") on February 10, 2022. The Parties submitted detailed mediation submissions to Judge Denlow setting forth their respective views as to the strengths of the case. After a full day of mediation, the Parties reached a settlement in principle.

**1.6** Subject to preliminary approval and final approval by the Court as required by Rule 23 of the Federal Rules of Civil Procedure, and subject to the remaining provisions herein, the Parties desire a full, complete and final settlement and resolution of all existing disputes and claims as set forth herein, and to fully, finally and forever resolve, discharge and release the claims (as set forth herein) of Class Plaintiff and the Settlement Class Members, in exchange for Allstate's agreement to pay the total amount of FOUR MILLION FIVE HUNDRED THOUSAND DOLLARS (\$4,500,000), inclusive of Plaintiffs' attorneys' fees, Settlement Costs and Settlement Awards as explained and set forth below.

**1.7** The Parties understand, acknowledge and agree that the execution of this Agreement constitutes the settlement and compromise of disputed claims. This Agreement is inadmissible as evidence except to enforce the terms of the Agreement and is not an admission of wrongdoing or liability on the part of any Party to this Agreement.

**NOW THEREFORE**, it is hereby agreed by the Parties that, in consideration of the promises and covenants set forth in this Agreement and upon the entry by the Court of a final order approving the settlement and directing the implementation of the terms and conditions of the settlement as set forth in this Agreement, the Action shall be settled and compromised upon the terms and conditions contained herein.

## 2. **DEFINITIONS**

The definitions contained herein shall apply only to this Agreement and the attached Exhibits, and shall not apply to any other agreement, including, without limitation, any other settlement agreement. Nor shall they be used as evidence, except with respect to this Agreement, of the meaning of any term. Furthermore, each defined term stated in a singular form shall include the plural form, and each defined term stated in a plural form shall include the singular form. As used in this Agreement, in addition to any definitions elsewhere in this Agreement, the following terms shall have the meanings set forth below:

**2.1 “Action”** means *Gebka v. Allstate Insurance Company*, pending in the United States District Court for the Northern District of Illinois, Case No. 1:19 CV 6662.

**2.2 “Agreement”** means this Class Action Settlement Agreement and Release, including Exhibits.

**2.3 “CAFA Notice”** refers to the notice requirements imposed by 28 U.S.C. § 1715(b).

**2.4 “Claims Administrator”** means \_\_\_\_, subject to approval by the Court. The Claims Administrator shall be responsible for providing the Class Notice as well as services related to administration of the Settlement.

**2.5 “Class Counsel”** means Keogh Law, Ltd.

**2.6 “Class Notice”** means any type of notice that may be utilized to notify persons in the Class of the Settlement, including one or more of the following methods: Mail Notice, Website Notice, E-Mail Notice, and any different or additional notice that might be ordered by the Court. A description of the contemplated Class Notice is provided in Section **10.2** of this Agreement.

**2.7 “Class Plaintiff”** means Plaintiff Thomas Gebka.

**2.8 “Court”** means the United States District Court for the Northern District of Illinois.

**2.9 “Cy Pres Distribution”** means monies that may be distributed in connection with the Settlement pursuant to Section **12.3** of this Agreement.

**2.10 “Effective Date”** means the day after the last of the following events takes place:

(A) The Court has entered, without material change, the Final Approval Order where no objection having been filed;

OR

(B) The final disposition of any related appeals, and in the case of no appeal or review being filed, expiration of the applicable appellate period if there has been an objection filed to the Settlement.

**2.11 Allstate’s counsel** means Eversheds Sutherland (US) LLP.

**2.12 “E-Mail Notice”** means the reminder notice provided pursuant to Section **10.2(B)** of this Agreement, substantially in the form attached as Exhibit 1. Email Notice will be sent two weeks before the claim deadline to the Settlement Class Members who have not submitted claims or opted out via electronic mail, where valid email addresses are known to the Parties. The E-Mail notice shall contain a hyperlink to the Settlement Website.

**2.13 “Escrow Account”** means a non-interest bearing checking account established at a financial institution by the Claims Administrator into which monies shall be deposited as set forth by this Agreement.

**2.14 “Final Approval Hearing”** means the hearing during which the Court considers the Parties’ request to enter the Final Approval Order granting final approval of the Settlement and to determine the amount of fees, costs and expenses awarded to Class Counsel and the amount of the service award to Class Plaintiff.

**2.15 “Final Approval Order”** means the order and judgment that the Court enters upon finally approving the Settlement, the proposed form of which is attached as Exhibit 2. “Final Approval” occurs on the date that the Court enters, without material change, the Final Approval Order.

**2.16 “Funding Date”** means the date, which is no later than seven (7) business days after the Effective Date, on which Allstate shall cause payment to be made into the Settlement Fund account pursuant to Section **9.1** of this Agreement.

**2.17 “Judge”** shall mean any judge of the United States District Court for the Northern District of Illinois, including the Honorable Sharon J. Coleman.

**2.18 “Mail Notice”** means the doubled sided postcard notice with attached claim form that will be provided pursuant to Section **10.2(A)** of this Agreement to the Settlement Class Members, subject to approval by the Court, substantially in the form attached as Exhibit 3.

**2.19 “Notice Deadline”** shall have the meaning set forth in **Section 8.1(D)** of this Agreement.

**2.20 “Opt-Out and Objection Deadline”** shall have the meaning set forth in Sections **8.1(F)** and **13.1** of this Agreement.

**2.21 “Parties”** means Class Plaintiff and Allstate.

**2.22 “Preliminary Approval Order”** means the order that the Court enters upon preliminarily approving the Settlement, the proposed form of which is attached as Exhibit 4. “Preliminary Approval” occurs on the date that the Court enters, without material change, the Preliminary Approval Order.

**2.23 “Released Claims”** means all claims to be released as set forth in Section **16** of this Agreement. The “Releases” means all of the releases contained in Section **16** of this Agreement.

**2.24 “Released Parties”** means and refers to Defendant Allstate, its parent company The Allstate Corporation, all of The Allstate Corporation’s subsidiaries, agents, and brokers and each of the foregoing’s respective past, present, and future officers, directors, attorneys, agents and brokers, as defined in Section **16.2**.

**2.25 “Releasing Parties”** means the Class Plaintiff and Settlement Class Members, on behalf of themselves and their respective spouses, heirs, executors, administrators, representatives, agents, attorneys, partners, successors, predecessors-in-interest, assigns, any other person or entity claiming through them and, if relevant, any co-signer, co-buyer, co-borrower or guarantors.

**2.26 “Settlement Class”** means the approximately 7,451 individuals to whom: (a) Allstate or anyone allegedly acting on its behalf made at least two telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities within a 12-month period (b) promoting Allstate insurance (c) between October 8, 2015 and present (d)

where the person's telephone number was registered on a Do Not Call registry for more than 31 days before the first call. Excluded from the Settlement Class are the Judge to whom the Action is assigned and any member of the Court's staff and immediate family (to the extent they received a listed call), all persons who have opted-out of the Settlement Class pursuant to the requirements set forth in Section 13.1 of this Agreement, and individuals whose claims would be or are included in any other settlement. The Settlement Class does not include any claims for Prerecorded calls that were alleged in the Second Amended Complaint, other than the individual claims of Gebka.

**2.27 "Settlement Class Member"** means any person in the Settlement Class who has not properly opted out of or is otherwise excluded from the Settlement Class.

**2.28 "Settlement"** means the settlement into which the Parties have entered to resolve the Action. The terms of the Settlement are set forth in this Agreement and the attached exhibits, which are incorporated by reference herein.

**2.29 "Settlement Award"** means a cash payment that may be available to eligible Settlement Class Members pursuant to Section 11 of this Agreement.

**2.30 "Settlement Costs"** means all costs incurred in the litigation by the Class and their attorneys, as well as all costs of administering the Settlement, and such costs shall include: (i) any award of attorneys' fees and costs to Class Counsel approved by the Court; (ii) any incentive award to Class Plaintiff approved by the Court; (iii) all costs of printing and providing notice to persons in the Settlement Class (including, but not limited to, costs for Mail Notice, Website Notice, and E-Mail Notice and any different or additional notice that might be ordered by the Court); (iv) all costs of administering the Settlement, including, but not limited to, the cost of printing and mailing Settlement Awards and other payments and the cost of maintaining a designated post office box and/or operating the Settlement Website; and (v) the fees, expenses, and all other costs of the Claims Administrator.

**2.31 "Settlement Fund"** means the amount of FOUR MILLION FIVE HUNDRED THOUSAND DOLLARS (\$4,500,000) to be paid by Allstate as set forth in this Agreement.

**2.32 “Settlement Termination Date”** means the date, if any, that any Party exercises its right to terminate this Agreement under the terms thereof.

**2.33 “Settlement Website”** means the website established by the Claims Administrator to aid in the administration of the settlement.

**2.34 “TCPA”** means the Telephone Consumer Protection Act, 47 U.S.C. § 227, and any regulations or rulings promulgated under it.

**2.35 “Total Payment”** includes the Settlement Fund, and means an all-inclusive payment of FOUR MILLION FIVE HUNDRED THOUSAND DOLLARS (\$4,500,000), which shall be made by Allstate to resolve this litigation. As set forth in this Agreement, the “Total Payment” shall be used for Settlement Costs, including any and all administration expenses, any cost associated with class notice, including mailing costs, taxes and tax-related expenses incurred by or in connection with the creation of the Settlement Fund, any attorneys’ fees and costs awarded to Class Counsel by the Court, any incentive awards ordered by the Court to be paid to Class Plaintiff, and all amounts to be paid to Settlement Class Members under this Agreement. Under no circumstances shall Allstate or the Released Parties be required to pay any amount in excess of the \$4,500,000.00 Total Payment in order to resolve and settle the Action.

**2.36 “Website Notice”** means the long form website notice provided on the Settlement Website pursuant to Section **10.2(B)** of this Agreement, substantially in the form attached hereto as Exhibit 5.

**2.37** Capitalized terms used in this Agreement but not defined above shall have the meaning ascribed to them in this Agreement.

### **3. SETTLEMENT PURPOSES ONLY**

**3.1 General.** This Agreement is made for the sole purpose of settlement of the Action, on a settlement class-wide basis, as well as the settlement of all related individual claims made by the Class Plaintiff. The settlement of the Action is expressly conditioned upon the entry of a Preliminary Approval Order and a Final Approval Order by the Court. In the event that the Court does not execute and file the Order of Final Approval, or in the event the Order of Final Approval

does not become final for any reason, or is modified in any material respect, or in the event that the Final Effective Date does not occur, this Agreement shall be deemed null and void *ab initio* and shall be of no force and effect whatsoever and shall not be referred to or utilized for any purpose whatsoever.

**3.2 ALLSTATE's Position on Conditional Certification of the Settlement Class.**

Allstate disputes that a class would be manageable or that class issues predominate over individual ones, and denies that a litigation class properly could be certified on the claims asserted in the Action. However, solely for purposes of avoiding the expense and inconvenience of further litigation, Allstate does not oppose and hereby agrees to certification of the Settlement Class defined in Sections 2.30, *for settlement purposes only*, pursuant to Fed. R. Civ. P. 23(b)(3). Preliminary certification of the Settlement Class for settlement purposes shall not be deemed a concession that certification of a litigation class is appropriate, nor would Allstate be precluded from challenging class certification in further proceedings in the Action or in any other action if the Settlement is not finalized or finally approved. If the Settlement is not finally approved by the Court for any reason whatsoever, the certification of the Settlement Class will be void, and no doctrine of waiver, estoppel or preclusion will be asserted in any proceedings involving Allstate. No agreements made by or entered into by Allstate in connection with the Settlement may be used by Class Plaintiff, any person in the Class, or any other persons to establish any of the elements of class certification in any litigated certification proceedings, whether in the Action or any other judicial proceeding.

**3.3 Admissibility.** Additionally, this Agreement, any negotiations or proceedings related hereto, the implementation hereof, and any papers submitted in support of the motions for approval hereof (collectively, the "Settlement Proceedings") shall not be construed as or deemed to be evidence of any admission or concession by any of the Parties or any other Person regarding liability, damages, or the appropriateness of class treatment, and shall not be offered or received in evidence in any action or proceeding for any purpose whatsoever; provided, however, that this Agreement and the proceedings and motions submitted in connection with the Settlement may be

presented to the Court in connection with the implementation or enforcement of this Agreement, or as may be necessary or appropriate to further the purposes sought to be achieved by this Agreement.

**3.4 Denial of Liability.** By entering into this Agreement, it is understood that the Released Parties, including Allstate, do not admit and, to the contrary, expressly deny that they have breached any duty, obligation, or agreement; that they have engaged in any illegal, tortious, or wrongful activity, deny that they are liable to Releasing Parties, including Class Plaintiff, any person in the Classes or any other persons, and/or deny that any damages have been sustained by any Releasing Parties in any way arising out of or relating to the conduct alleged in the Action. Allstate expressly reserves all rights to challenge Releasing Parties' claims on all factual and procedural grounds, including but not limited to the assertion of any and all defenses.

**3.5 Class Plaintiff's Belief in the Merits of the Case.** Class Plaintiff believes the claims asserted in the Action have merit and that the evidence developed to date supports those claims. This Settlement shall in no event be construed or deemed to be evidence of or an admission or concession on the part of Class Plaintiff that there is any infirmity in the claims asserted by them, or that there is any merit whatsoever to any of the contentions and defenses that Allstate has asserted.

**3.6 Class Plaintiff Recognizes the Benefit of Settlement.** The Class Plaintiff recognizes and acknowledges, however, the expense and amount of time which would be required to continue to pursue the Action against Allstate, as well as the uncertainty, risk and difficulties of proof inherent in prosecuting such claims on behalf of the Settlement Class. The Class Plaintiff has concluded that it is desirable that the Action and any Released Claims be fully and finally settled and released as set forth in this Agreement. The Class Plaintiff and Class Counsel believe that the terms and conditions set forth in this Agreement confer substantial benefits upon the Settlement Class and that it is in the best interests of the Settlement Class to settle as described herein.

#### **4. JURISDICTION**

**4.1** The Parties agree that the Court has, and shall continue to have, jurisdiction to make any orders as may be appropriate to effectuate, consummate, and enforce the terms of this Agreement, to approve awards of attorneys' fees and costs pursuant hereto, and to supervise the administration of and the distribution of money funded pursuant to this Agreement.

#### **5. SETTLEMENT TERMS AND BENEFITS TO THE SETTLEMENT CLASS**

**5.1 Total Payment to Settlement Class.** Allstate shall make the Total Payment of FOUR MILLION FIVE HUNDRED THOUSAND DOLLARS (\$4,500,000) to settle the Action with the Settlement Class and obtain a Release of all Released Claims in favor of all Released Parties. The Total Payment will be used to pay Approved Claims and any Settlement Costs. Settlement Class Members will be eligible for a cash payment, the amount of which is dependent upon the number of Approved Claims. In no event will Allstate payment obligations exceed the Settlement Fund.

**5.2 Amount Paid Per Class Member.** The amount paid per Class Member shall be divided among the Settlement Class on a *pro rata* basis from the amount remaining in the Settlement Fund after deducting the Settlement Costs from the Total Payment, but in no event shall any claimant receive an amount in excess of \$500 per call. Any residual Settlement Funds will be distributed to a *cy pres* beneficiary pursuant to paragraph 12.3.

#### **6. ATTORNEYS' FEES, COSTS AND PAYMENT TO CLASS REPRESENTATIVES**

**6.1 Attorneys' Fees and Costs.** Class Counsel shall move the Court for an award of attorneys' fees and expenses paid from the Settlement Fund. The amount of attorneys' fees and costs approved by the Court shall be paid from the Settlement Fund and from no other source. Within five (5) business days of the Funding Date and after receipt of Class Counsel's completed W-9 forms, the Claims Administrator shall pay to Class Counsel the amount of attorneys' fees, costs and expenses awarded to Class Counsel by the Court, as directed by written instructions from Class Counsel. Court approval of attorneys' fees and costs, or their amount, will not be a condition of Settlement. In addition, no interest will accrue on such amounts at any time.

**6.2 Payment to Class Plaintiff.** Class Plaintiff will also ask the Court to award him incentive payments (in addition to any *pro rata* distribution he may receive under Section 5.2) for the time and effort he has personally invested in this Action. Within five (5) business days of the Funding Date and after receipt of Class Plaintiff's completed W-9 forms, the Claims Administrator shall pay to Class Plaintiff the amount of any incentive payments awarded by the Court. In addition, no interest will accrue on such amounts at any time. Any incentive payment shall come from the Settlement Fund and from no other source.

**6.3 Settlement Independent of Award of Fees and Incentive Payments.** The payment of attorneys' fees, costs and incentive payments set forth in Section 6.1 and 6.2 are subject to and dependent upon the Court's approval. However, this Settlement is not dependent upon the Court's approving Class Plaintiff's requests for such payments or awarding the particular amounts sought by Class Plaintiff. In the event the Court declines Class Plaintiff's requests or awards less than the amounts sought in attorneys' fees, costs and incentive payments, this Settlement shall continue to be effective and enforceable by the Parties.

## **7. CONDITIONS OF SETTLEMENT**

**7.1** Performance of the obligations set forth in this Agreement is subject to all of the following material conditions:

- (A) Execution of this Agreement by Allstate, Class Plaintiff, and Class Counsel.
- (B) Execution and filing by the Court of the Preliminary Approval Order.
- (C) Sending of the notices, described in Section 10 below.
- (D) Execution and filing by the Court of the Final Approval Order.
- (E) Execution and entry of Judgment by the Court.

**7.2** The Parties covenant and agree to cooperate reasonably and in good faith for the purpose of achieving occurrence of the conditions set forth above, including, without limitation, timely filing of all motions, papers and evidence necessary to do so, and refraining from causing or encouraging directly or indirectly any appeal or petition for writ proceedings by third parties seeking review of any Order contemplated by this Agreement. Class Counsel represent and

warrant that they have authority to take all such actions required of them pursuant to this Agreement, and that by doing so they are not in breach or violation of any agreement with any Plaintiff or any third party.

## **8. PRELIMINARY APPROVAL OF THE SETTLEMENT**

**8.1 Preliminary Approval Motion.** As soon as practical after the execution of this Agreement, the Parties shall move the Court for entry of the Preliminary Approval Order in substantially the same form attached as Exhibit 4. Pursuant to the motion for preliminary approval, the Parties will request that:

- (A) The Court conditionally certify the Settlement Class for settlement purposes only and appoint Class Counsel for the Class;
- (B) The Court preliminarily approve this Agreement and the Settlement reflected herein as fair, adequate and reasonable to the Settlement Class;
- (C) The Court approve the form of Class Notice and find that the notice program constitutes the best notice practicable under the circumstances, provides due and sufficient notice to the Settlement Class and fully satisfies the requirements of due process and Federal Rule of Civil Procedure 23;
- (D) The Court direct that notice be provided to the Settlement Class, in accordance with this Agreement, within twenty-one (21) days following entry of the Preliminary Approval Order (the “Notice Deadline”);
- (E) The Court shall establish a procedure for any class members to object to the Settlement or exclude themselves from either class;
- (F) The Court shall set a deadline sixty (60) days after the Notice Deadline, after which no one shall be allowed to object to the Settlement or exclude himself or herself or seek to intervene (the “Opt-Out and Objection Deadline”);
- (G) The Court shall approve the claims process described herein for the Settlement Class;

- (H) The Court shall, pending determination of whether the Settlement should be finally approved, bar and enjoin all persons in the Settlement Class, individually, and on a representative basis or other capacity, from commencing or prosecuting against any of the Released Parties in any action, arbitration, or proceeding in any court, arbitration forum or tribunal asserting any of the Released Claims unless they timely opt-out;
- (I) The Court shall, pending final determination of whether the Settlement should be approved, stay all proceedings except those related to effectuating the Settlement; and
- (J) The Court shall schedule a hearing to consider Final Approval of the Settlement, which shall be scheduled no earlier than sixty (60) days after the Opt-Out and Objection Deadline.

**8.2 Stay/Bar of Proceedings.** All proceedings between the Parties in the Action will be stayed following entry of the Preliminary Approval Order, except as may be necessary to implement the Settlement or comply with the terms of the Settlement. Pending determination of whether the Settlement should be granted Final Approval, the Parties agree not to pursue any claims or defenses otherwise available to them in the Action except as otherwise ordered by the Court, and no person in the Settlement Class or person acting or purporting to act directly or derivatively on behalf of a person may commence or prosecute against any of the Released Parties any action or proceeding asserting any of the Released Claims, except as otherwise ordered by the Court. The Preliminary Approval Order will contain an injunction enjoining the prosecution of the Released Claims by any person unless and until after such person is validly excluded from the Settlement Class or is not a Settlement Class Member.

## **9. SETTLEMENT CONSIDERATION**

**9.1 The Settlement Fund.** As full and complete consideration for the Settlement as to the Settlement Class, Allstate will pay the Total Payment of FOUR MILLION FIVE HUNDRED THOUSAND DOLLARS (\$4,500,000) into the Settlement Fund to fully and completely settle all

claims of Class Plaintiff and the Settlement Class Members. The Settlement Fund shall be used as described in Section 2.34. ALLSTATE shall deposit money into the Escrow Account as follows: (a) Allstate shall advance the amounts necessary to pay for the Notice Program and settlement administration, which advances shall be credited against the Settlement Fund within fourteen days after Preliminary Approval; and (b) Allstate shall pay the balance of the Settlement Fund into the Escrow Account within seven (7) business days following the Effective Date. Allstate shall not, under any circumstances or for any reason, be obligated to pay any amounts in addition to the Settlement Fund in connection with the Settlement.

## **10. ADMINISTRATION AND NOTIFICATION PROCESS**

**10.1 Claims Administrator.** The Claims Administrator shall administer the Settlement. The parties will provide the Class List to the Claims Administrator within seven (7) days, if not sooner, following Preliminary Approval.

**10.2 Settlement Class Notice Program For the Settlement Class.** The Claims Administrator shall, by the Notice Deadline, provide:

- (A) **Mail Notice.** The Claims Administrator will provide individual notice via double postcard with claim form attached to the mailing addresses of Settlement Class Members in the Class List or if an address is not included in the class list, it shall perform a reverse look-up of the telephone number called in an attempt to obtain addresses and e-mail addresses. Thirty (30) days after the Notice Deadline, the Claims Administrator will send a second Mail Notice via double postcard with claim form attached to those Settlement Class Members who have not submitted a claim or opted out of the Settlement. The Claims Administrator shall perform skip tracing for all returned direct mail; all costs of skip tracing will be considered Settlement Costs and paid from the Settlement Fund. The Mail Notice shall direct recipients to the Settlement Website. E-mail Notice shall include an active hyperlink to the Settlement Website. The Claims Administer will provide

the parties with an estimated claim rate four weeks after Settlement Class Members are given initial notice of the settlement. Depending on the then-current claims rate, the Parties will determine whether the Claims Administrator will be directed to provide additional postcard notice to those Settlement Class Members who have not submitted a valid Claim Form.

- (B) **Settlement Website.** The Claims Administrator will establish and maintain the Settlement Website dedicated to the Settlement, on which will be posted the Website Notice, a copy of this Agreement, the Preliminary Approval motion and Order, Plaintiff's fee petition, the Final Approval Motion, the operative Complaint, and any other materials the Parties agree to include. These documents shall be available on the Settlement Website beginning five (5) days following the entry of the Preliminary Approval Order and remain until all of the checks disbursed are void unless otherwise ordered by the Court. The Settlement Website will also allow Settlement Class Members to update their contact information. The Claims Administrator shall secure the URL \_\_\_\_\_ .com for the Settlement Website.
- (C) **800 Number/IVR/Telephone Claims.** The Claims Administrator will establish and maintain an 800 number that will answer questions concerning this Agreement and allow Settlement Class Members to request a written claim form or use their Claim ID to make a claim via telephone.
- (D) **CAFA Notice.** The Claims Administrator shall be responsible for serving the CAFA notice required by 28 U.S.C. § 1715 to the Attorney General of the United States and the appropriate state officials within ten (10) days of the filing of the Preliminary Approval Motion.

## 11. **SETTLEMENT AWARDS**

11.1 **Awards to Settlement Class Members.** All Settlement Class Members who submit a valid claim with the Claim ID provided will be entitled to a *pro rata* share of the

Settlement Fund (*i.e.* a Settlement Award), but in no event shall any claimant receive an amount in excess of \$500 per call, and paid by check, as set forth below.

**11.2 Award Estimates.** Class Counsel shall include in the Class Notices a good faith estimated range for Settlement Awards.

## **12. DISTRIBUTION OF SETTLEMENT AWARDS**

**12.1 Settlement Award Payments.** The Claims Administrator shall send each eligible Settlement Class Member their Settlement Award within forty-five (45) days after the Effective Date. The Claims Administrator will perform skip tracing and re-mailing, as necessary; all costs of such work will be considered Settlement Costs. Checks will be valid for one-hundred twenty (120) days from the date on the check.

**12.2 Remaining Funds.** Subject to the provisions in Section 2.23 herein, money in the Settlement Fund that has not been distributed following the expiration of checks issued, including money not distributed because of the per call cap, shall be paid as *cy pres* to be agreed to by the Parties or the Court if the Parties are unable to agree.

## **13. OPT-OUTS AND OBJECTIONS**

**13.1 Opt-Out Requirements.** Persons in the Settlement Class may request exclusion from the Settlement by sending a written request to the Claims Administrator at the address designated in the Class Notice no later than the Opt-Out and Objection Deadline. Exclusion requests must: (i) be signed by the person in the Settlement Class who is requesting exclusion; (ii) include the full name and address of the person in the Settlement Class requesting exclusion; and (iii) include the following statement: "I/we request to be excluded from the settlement in the Allstate TCPA action." No request for exclusion will be valid unless all of the information described above is included. No person in the Settlement Class, or any person acting on behalf of or in concert or participation with that person in the Settlement Class, may exclude any other person in the Settlement Class from the Settlement Class. In the event that the number of persons in the Settlement Class who validly and timely submit opt-out requests exceeds forty (40) class members, Allstate shall have the right, but not the obligation, to terminate this Agreement.

**13.2 Retention of Exclusions.** The Claims Administrator will retain a copy of all requests for exclusion and will, upon written request, provide copies of any such requests to counsel for the Parties. Class Counsel will keep any such opt-out information confidential and use it only for purposes of determining whether a person in the Settlement Class has properly opted out.

**13.3 Right To Object.** Any Settlement Class Member may appear at the Final Approval Hearing to object to the proposed Settlement, but only if the Settlement Class Member has first filed a written objection with the Clerk of Court, in accordance with the requirements set forth below, by the Opt-Out and Objection Deadline. Any Settlement Class Member who does not provide a written objection in the manner described in this Section shall be deemed to have waived any objection and shall forever be foreclosed from making any objection to the fairness, reasonableness, or adequacy of the Settlement or the award of any attorneys' fees and costs and/or incentive awards. Further, any Settlement Class Member who intends to appear at the Final Approval Hearing must file and serve on all Parties a Notice of Intention to Appear with the Court.

**13.4 Objection Requirements.** To be heard at the Final Approval Hearing, the Settlement Class Member must make any objection in writing and file it with the Court by the Opt-Out and Objection Deadline. The objection must also be mailed to each of the following, postmarked no later than the last day to file the objection: (i) Class Counsel – Keogh Law, Ltd., 55 W. Monroe, Ste. 3390, Chicago, Illinois 60603; and (ii) Allstate's Counsel – Eversheds Sutherland (US) LLP, c/o Lewis Wiener, 700 6th Street, NW, Washington, DC 20001. An objection must:

- (A) Attach documents establishing, or provide information sufficient to allow the Parties to confirm, that the objector is a Settlement Class Member, including providing the Claim ID, full name, address, the cellular telephone number called, and whether he or she intends to appear at the fairness hearing on his or her own behalf or through counsel;

- (B) Include a statement of such Settlement Class Member's specific objections;  
and
- (C) State the grounds for objection and attach any documents supporting the objection.

**13.5** Any Settlement Class Member who objects may, but does need to, appear at the Fairness Hearing, either in person or through an attorney hired at the Settlement Class Member's own expense, to object to the fairness, reasonableness, or adequacy of this Agreement or the Settlement. A Settlement Class Member or his or her attorney intending to make an appearance at the Fairness Hearing must: (i) file a notice of appearance with the Court no later than twenty (20) days prior to the Fairness Hearing, or as the Court may otherwise direct; and (ii) serve a copy of such notice of appearance on all counsel for all Parties. Any Settlement Class Member who fails to comply with the provisions of this Section 13 shall waive and forfeit any and all rights to appear separately and/or to object, and shall be bound by all the terms of this Settlement, and by all proceedings, orders, and judgments in the litigation.

#### **14. FINAL APPROVAL AND JUDGMENT ORDER**

**14.1 Final Approval.** Following completion of the Class Notice process and within thirty (30) days following expiration of the Opt-Out and Objection Period, the Parties shall request that the Court enter the Final Approval Order in substantially the same form attached as Exhibit 2, which shall specifically include provisions that:

- (A) Finally approve the Settlement as fair, reasonable, and adequate to the Settlement Class;
- (B) Find that the Class Notice as given was the best notice practicable under the circumstances, is due and sufficient notice to the Settlement Class, and fully satisfies the requirements of due process and Federal Rule of Civil Procedure 23;
- (C) Find that the Settlement Class Members have been adequately represented by the Class Representatives and Class Counsel;

- (D) Approve the plan of distribution for the Settlement Fund and any interest accrued thereon;
- (E) Confirm continued certification of the Settlement Class;
- (F) Confirm that Class Plaintiff and the Settlement Class Members have released all Released Claims that are contemplated under this Agreement and are permanently barred and enjoined from asserting, commencing, prosecuting, or continuing any of the Released Claims that are contemplated under this Agreement against the Released Parties;
- (G) Dismiss on the merits and with prejudice all claims of the Settlement Class Members asserted against Allstate, as well as the Action, without costs to any party, except as provided in this Agreement; and
- (H) Retain jurisdiction of all matters relating to the interpretation, administration, implementation, effectuation, and enforcement of this Settlement.

**15. FINAL APPROVAL ORDER**

**15.1** The Judgment entered at the Final Approval Hearing shall be deemed final:

- (A) Thirty (30) days after entry of the Final Approval Order if no document is filed within the time seeking appeal, review, or rehearing of the judgment;  
or
- (B) If any such document is filed, then five (5) days after the date upon which all appellate and/or other proceedings resulting from such document have been finally terminated in such a manner as to permit the judgment to take effect in substantially the form described in Section 14.

**16. RELEASE OF CLAIMS**

**16.1** As of the Effective Date, Class Plaintiff, and the Settlement Class Members provide the following releases:

**16.2** Class Plaintiff and each and all Settlement Class Members, on behalf of themselves and their respective spouses, heirs, executors, administrators, representatives, agents, attorneys, partners, successors, predecessors-in-interest, assigns, and other person claiming through any of them, will be deemed to have fully released and forever discharged Allstate and the Released Parties from any and all claims, causes of action, suits, obligations, debts, demands, agreements, promises, liabilities, damages, losses, controversies, costs, expenses, and attorneys' fees of any nature whatsoever, whether based on any federal law such as the TCPA, state law, common law, territorial law, foreign law, contract, rule, regulation, any regulatory promulgation (including, but not limited to, any opinion or declaratory ruling), or in equity, whether known or unknown, suspected or unsuspected, asserted or unasserted, foreseen or unforeseen, actual or contingent, liquidated or unliquidated, punitive or compensatory, as of the date of the Final Approval Order, that arise out of or relate in any way to Allstate or anyone allegedly acting on its behalf making telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities where the person's telephone number was registered on a Do Not Call registry for more than 31 days before the first call (collectively, the "Released Claims"). In addition, and separately, Plaintiff will release any and all individual claims as alleged in the Second Amended Complaint, including the claims for Prerecorded Calls.

**16.3 Covenant Not to Sue.** Class Plaintiff and Settlement Class Members agree and covenant, and each Settlement Class member will be deemed to have agreed and covenanted, not to sue any Released Party with respect to any of the Released Claims, or otherwise assist others in doing so, and agree to be forever barred from doing so, in any court of law, equity, or any other forum.

## **17. TERMINATION OF AGREEMENT**

**17.1 Either Side May Terminate the Agreement.** Class Plaintiff and Allstate shall each have the right to unilaterally terminate this Agreement by providing written notice of his, her, their or its election to do so ("Termination Notice") to all other Parties within ten (10) calendar days of any of the following occurrences:

- (A) The Court rejects, materially modifies, materially amends or changes, or declines to preliminarily or finally approve the Agreement (including entering a Final Approval Order);
- (B) An appellate court reverses the Final Approval Order, unless the Agreement is reinstated without material change by the Court on remand; or
- (C) The Effective Date does not occur.

**17.2 Settlement Fund Return to Allstate.** In the event that the Settlement is not approved, or is terminated, canceled, or fails to become effective for any reason including, but not limited to, Section 17.1, the money remaining in the Settlement Fund (including accrued interest), less expenses and taxes incurred or due and owing and payable from the Settlement Fund in accordance with this Agreement, shall be returned to Allstate within fifteen (15) days of the event that causes the Agreement to not become effective.

**17.3 Revert to Status Quo.** If either Class Plaintiff or Allstate terminate this Agreement as provided in Section 17.1, the Agreement shall be of no force and effect and the Parties' rights and defenses shall be restored, without prejudice, to their respective positions as if this Agreement had never been executed, and any orders entered by the Court in connection with this Agreement shall be vacated. However, any payments made to the Claims Administrator for services rendered to the date of termination shall not be refunded by Allstate.

## **18. TAXES**

**18.1 Taxes Paid By Administrator.** All taxes arising in connection with income earned by the Settlement Fund, including any taxes or tax detriments that may be imposed upon Allstate or any of the other Released Parties with respect to any income earned by the Settlement Fund for any period, shall be paid by the Claims Administrator from the Settlement Fund.

**18.2 Expenses Paid from Fund.** Any expenses reasonably incurred by the Claims Administrator in carrying out the duties described in Section 10, including fees of tax attorneys and/or accountants, shall be paid by the Claims Administrator from the Settlement Fund.

**18.3 Responsibility for Taxes on Distribution.** Any person or entity that receives a distribution from the Settlement Fund shall be solely responsible for any taxes or tax-related expenses owed or incurred by that person or entity by reason of that distribution. Such taxes and tax-related expenses shall not be paid from the Settlement Fund.

**18.4 Allstate Is Not Responsible.** In no event shall Allstate or any of the other Released Parties have any responsibility or liability for taxes or tax-related expenses arising in connection with the payment or distribution of the Settlement Fund to Class Plaintiff, Settlement Class Members, Class Counsel, or any other person or entity.

**19. MISCELLANEOUS**

**19.1** This Agreement shall be governed by the laws of the State of Illinois.

**19.2 Evidentiary Preclusion.** In order to support a defense or counterclaim based on principles of res judicata, collateral estoppel, release, good faith settlement, judgment bar or reduction or any other theory of claim preclusion or issue preclusion or similar defense or counterclaim, the Released Parties may file the Agreement and/or the judgment in any action or proceeding that may be brought against them.

**19.3 No Construction Against Drafter.** This Agreement was drafted jointly by the Parties and in construing and interpreting this Agreement, no provision of the Agreement shall be construed or interpreted against any Party based upon the contention that this Agreement or a portion of it was purportedly drafted or prepared by that Party.

**19.4 Entire Agreement.** This Agreement constitutes the entire agreement between the Parties and supersedes all prior understandings, agreements, or writings regarding the subject matter of this Agreement. No representations, warranties or inducements have been made to any of the Parties, other than those representations, warranties, and covenants contained in this Agreement. This Agreement may be amended or modified only by a written instrument signed by all Parties or their successors in interest or their duly authorized representatives and approved by the Court. The provisions of the Agreement may be waived only in a writing executed by the waiving party. The waiver by one party of any breach of this Agreement by any other party shall

not be deemed a waiver, by that party or by any other party, of any other prior or subsequent breach of this Agreement.

**19.5 Authority.** Each person executing this Agreement on behalf of any of the Parties represents that such person has the authority to execute this Agreement.

**19.6 No Assignment.** No party to this Agreement has heretofore assigned, transferred, or granted, or purported to assign, transfer, or grant, any of the claims, demands, or cause or causes of action disposed of by this Agreement.

**19.7 Receipt of Advice of Counsel.** Each Party acknowledges, agrees and specifically warrants that he or it has fully read this Agreement, including the Releases, and has received independent legal advice with respect to the advisability and legal effects of entering this Agreement and the Releases, and fully understands the effect of this Agreement and the Releases. Each Party to this Agreement warrants that he or it is acting upon his or its independent judgment and upon the advice of his or its own counsel and not in reliance upon any warranty or representation, express or implied, of any nature or kind by any other party, other than the warranties and representations expressly made in this Agreement.

**19.8 Agreement Binding on Successors in Interest.** This Agreement is binding on and shall inure to the benefit of the respective heirs, successors and assigns of the Parties.

**19.9 Execution in Counterparts.** The Parties may execute this Agreement in any number of counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

**19.10 Notices.** Unless stated otherwise herein, any notice required or provided for under this Agreement shall be in writing and may be sent by electronic mail, fax, regular mail or FedEx, postage prepaid, as follows:

As to Class Plaintiff and Settlement Class:

Keith J. Keogh  
Keogh Law, LTD.  
55 W. Monroe St., Ste. 3390

As to Allstate:

Lewis Wiener  
Frank Nolan  
Eversheds Sutherland (US) LLP

Chicago, IL 60603

700 6th Street, NW  
Washington, DC 10002

**19.11 Future Changes in Laws or Regulations.** To the extent Congress, the Federal Communications Commission or any other relevant regulatory authority promulgates materially different requirements under the TCPA, those laws and regulatory provisions do not impact this Settlement Agreement.

**19.12 Time Periods.** The time periods and dates described herein are subject to Court approval and may be modified upon order of the Court or written stipulation of the Parties.

**IN WITNESS WHEREOF**, the Parties have caused this Agreement to be executed as follows:

**CLASS PLAINTIFF:**

Dated: \_\_\_\_\_, 2022

\_\_\_\_\_  
Thomas Gebka

Allstate Insurance Company

Dated: \_\_\_\_\_, 2022

By: \_\_\_\_\_

Its: \_\_\_\_\_

**APPROVED AS TO FORM AND  
CONTENT:**

**CLASS COUNSEL**

Keogh Law, Ltd.

Dated: \_\_\_\_\_, 2022

By: \_\_\_\_\_  
Keith J. Keogh

**ALLSTATE INSURANCE COMPANY,  
INC.'S COUNSEL**

Dated: \_\_\_\_\_, 2022

By: \_\_\_\_\_  
Lewis Weiner

**EXHIBIT 1**  
**EMAIL NOTICE**

***Gebka v. Allstate Insurance Company,***  
**Pending in the Northern District of Illinois Eastern Division, Case No. 1:19-cv-06662**

**If you received two or more calls promoting Allstate Insurance, you may be entitled up to \$500 per call with the average estimated payout being \$1,000.**

*A federal court authorized this Notice. This is not a solicitation from a lawyer.*

- **A proposed settlement will provide \$4,500,000.00 (the “Settlement Funds”) to fully settle and release claims of the Settlement Class, which is defined as:**

**The approximately 7,451 individuals to whom: (a) Allstate or anyone allegedly acting on its behalf made at least two telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities within a 12-month period (b) promoting Allstate insurance (c) between October 8, 2015 and present (d) where the person’s telephone number was registered on a Do Not Call registry for more than 31 days before the first call.**

**Excluded from the Settlement Class are the Judge to whom the Action is assigned and any member of the Court’s staff and immediate family (to the extent they received a listed call), and all persons who opt-out of or are otherwise excluded from the Settlement Class.**

- **Allstate denies Plaintiff’s allegations and any wrongdoing whatsoever. The Court has not ruled on the merits of Plaintiff’s claims or Allstate’s defenses. By entering into the settlement, Allstate has not conceded the truth or validity of any of the claims against it.**
- **The Settlement Funds shall be used to pay amounts related to the settlement, including awards to Settlement Class Members who submit a valid and timely claim form to receive payment (“Claim Form”), attorneys’ fees and costs to attorneys representing Plaintiff and the Settlement Class (“Class Counsel”), any service award for Plaintiff and the costs of notice and administration of the settlement. Class Counsel estimate that Settlement Class members who timely submit a valid Claim Form will receive up to \$500 per call with the average estimated payout being \$1,000, depending on the number of valid claims received. Any monies remaining in the Settlement Fund after the distribution of checks are distributed and the expiration date for negotiating those checks has passed will be distributed to \_\_\_\_\_ as cy pres. No Settlement Class Member will receive more than \$500 per call.**
- **Your rights and options, and the deadlines to exercise them, are explained in this Notice. Your legal rights are affected whether you act or do not act. Read this Notice carefully. Please refer to the Settlement Agreement, which contains defined terms used herein.**

**SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS**  
 (This chart summarizes your rights and options only; please see below for further information)

<b>SUBMIT A CLAIM FORM</b>	<p>If you submit a valid Claim Form on-line or postmarked by _____, 2022, you will receive a payment of up to \$500 per call and will give up your rights to sue Allstate and any other Released Parties related to a Released Claim. Claim Forms may be submitted by mail to _____ or through the settlement website by clicking [here] or by calling _____</p>
<b>EXCLUDE YOURSELF OR “OPT-OUT” OF THE SETTLEMENT</b>	<p>If you ask to be excluded from the class, you will not receive a payment. This is the only option that allows you to pursue your own claim(s) against Allstate or other Released Parties related to a Released Claim. The deadline for excluding yourself from the class is _____, 2022.</p>
<b>OBJECT TO THE SETTLEMENT</b>	<p>If you wish to object to the Settlement, you must write to the Court explaining why you believe the Settlement is unfair in any respect. The deadline for objecting is _____, 2022. To obtain a monetary benefit from this Settlement, you must still submit a Claim Form. If you submit only an objection without a Claim Form, you will not receive any monetary benefit from the Settlement and you will give up your rights to sue Allstate or any other Released Parties related to a Released Claim.</p>
<b>DO NOTHING</b>	<p>If you do nothing, you will not receive any monetary award and you will give up your rights to sue Allstate or any other Released Parties related to a Released Claim.</p>
<b>GO TO THE FINAL APPROVAL HEARING</b>	<p>You may attend the Final Approval Hearing. At the Final Approval Hearing you may ask to speak in Court about the fairness of the settlement. To speak at the Final Approval Hearing, you must file with the Court a document which includes your name, address, telephone number, your signature, and your intention to appear at the Final Approval Hearing. This must be filed no later than _____, 2022.</p>

- These rights and options—and the deadlines to exercise them—are explained in this Notice.
- The Court in charge of this case still has to decide whether to approve the settlement. Payments will be disbursed if the Court approves the settlement and after any appeals are resolved. Please be patient.

## BASIC INFORMATION

### 1. What is the purpose of this Notice?

**THE PURPOSE OF THIS NOTICE IS TO INFORM YOU THAT A PROPOSED SETTLEMENT HAS BEEN REACHED IN THE PUTATIVE CLASS ACTION LAWSUIT ENTITLED *GEBKA v. ALLSTATE INSURANCE COMPANY*, FILED IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION, CASE NO. 1:19-CV-06662. BECAUSE YOUR RIGHTS WILL BE AFFECTED BY THIS SETTLEMENT, IT IS EXTREMELY IMPORTANT THAT YOU READ THIS NOTICE CAREFULLY. THIS NOTICE SUMMARIZES THE SETTLEMENT AND YOUR RIGHTS UNDER IT.**

### 2. What does it mean if I received an email or postcard about this settlement?

If you received an email or postcard describing this settlement, it is because the call records compiled in this case indicate that you may be a member of the Settlement Class.

### 3. What is this class action lawsuit about?

In a class action, one or more people called Class Representatives (here, Plaintiff, Thomas Gebka) sues on behalf of people who allegedly have similar claims. This group is called a class and the persons included are called class members. One court resolves the issues for all of the class members, except for those who exclude themselves from the class.

Here, Plaintiff claims Allstate violated the Telephone Consumer Protection Act (“TCPA”) by placing or being responsible for the placing two or more calls promoting Allstate insurance where the person’s telephone number was registered on a Do Not Call registry. Allstate denies these allegations and any wrongdoing. The Court has conditionally certified a class action for settlement purposes only. The Honorable Sharon Coleman is the Judge in charge of this action.

### 4. Why is there a settlement?

The Court did not decide in favor of Plaintiff or Allstate. Instead, the parties agreed to this settlement. This way, the parties avoid the risk, uncertainty and cost of a trial, and the Settlement Class members will receive compensation. Plaintiff and Class Counsel think the settlement is best for all persons in the Settlement Class.

## WHO IS IN THE SETTLEMENT CLASS?

### 5. How do I know if I am a part of the settlement class?

The Court has certified a class action for settlement purposes only. The Settlement Class is defined as:

The approximately 7,451 individuals to whom: (a) Allstate or anyone allegedly acting on its behalf made at least two telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities within a 12-month period (b) promoting Allstate insurance (c) between October 8, 2015 and present (d) where the person's telephone number was registered on a Do Not Call registry for more than 31 days before the first call.

The approximately 7,451 persons have been identified by call records compiled in the litigation. If you are still not sure whether you are included, you can visit other sections of the Settlement Website, [www.\\_\\_\\_\\_Settlement.com](http://www.____Settlement.com), you may write to the claims administrator at \_\_\_\_\_ TCPA Settlement, c/o \_\_\_\_\_, or you may call the Toll-Free Settlement Hotline, 1- \_\_\_\_\_, for more information.

## THE LAWYERS REPRESENTING YOU

### 6. Do I have lawyers in this case?

The Court has appointed the law firm of Keogh Law, Ltd. as Class Counsel to represent you and the other persons in the Settlement Class. You will not be personally charged by these lawyers.

### 7. How will Class Counsel be paid?

Class Counsel will ask the Court to approve payment of up to \_\_\_% of the Settlement Fund after class administration costs, which is \$\_\_\_\_\_ for attorneys' fees, plus reasonable expenses. Class Counsel also will ask the Court to approve payment of \$\_\_\_\_\_ to Plaintiff for his services as Class Representative if permitted by law. The Court may award less than these requested amounts.

## THE SETTLEMENT BENEFITS – WHAT YOU GET

### 8. What does the settlement provide?

**Settlement Fund.** Allstate will make a one-time, all-inclusive payment of \$4,500,000 into a fund (the "Settlement Fund"), which will cover: (1) cash payments to Settlement Class Members who submit timely and valid Claim Forms; (2) an award of attorneys' fees and expenses to Class Counsel, plus expenses, as approved by the Court; (3) service award to the Plaintiff, Thomas Gebka, in an amount approved by the Court; and (4) the costs of notice and administration of the Settlement.

**Cash Payments.** All members of the Settlement Class are eligible to submit a Claim Form and receive a cash payment. To submit a Claim Form, follow the procedures described under Question 11 below. Settlement Class Members will have the option to select receipt of their Settlement Awards by either check or secure electronic payment. If no option is selected or the

secure electronic payment cannot be completed, the Settlement Award shall be paid by check. The Settlement Administrator shall send each Settlement Class Member their Settlement Award within 45 calendar days after the Effective Date.

#### 9. How much will my payment be?

Your share of the Settlement Fund will depend on the number of valid Claim Forms that Settlement Class Members submit and the number of calls you received. Class Counsel estimates that the amount of the cash award (while dependent upon the number of claims) will be \$1,000 based on \$500 per call. **This is an estimate only. The final cash payment amount will depend on the total number of valid and timely claims submitted by Settlement Class Members and the number of calls you received. No Settlement Class member will receive more than \$500 per call.**

#### 10. What am I giving up to stay in the Settlement Class?

Unless you exclude yourself from the Settlement, you will be a Settlement Class Member and will be bound by the release of claims in the Settlement. This means that if the Settlement is approved, you cannot rely on any Released Claim to sue, or continue to sue, Allstate or other Released Parties, on your own or as part of any other lawsuit, as explained in the Settlement Agreement. It also means that all of the Court's orders and rulings will apply to you and legally bind you. Unless you exclude yourself from the Settlement, you will agree to release Allstate and all other Released Parties from any and all of the Released Claims, as defined in the Settlement Agreement.

In summary, the Release includes Class Plaintiff and each and all Settlement Class Members, on behalf of themselves and their respective spouses, heirs, executors, administrators, representatives, agents, attorneys, partners, successors, predecessors-in-interest, assigns, and other person claiming through any of them, will be deemed to have fully released and forever discharged Allstate and the Released Parties from any and all claims, causes of action, suits, obligations, debts, demands, agreements, promises, liabilities, damages, losses, controversies, costs, expenses, and attorneys' fees of any nature whatsoever, whether based on any federal law such as the TCPA, state law, common law, territorial law, foreign law, contract, rule, regulation, any regulatory promulgation (including, but not limited to, any opinion or declaratory ruling), or in equity, whether known or unknown, suspected or unsuspected, asserted or unasserted, foreseen or unforeseen, actual or contingent, liquidated or unliquidated, punitive or compensatory, as of the date of the Final Approval Order, that arise out of or relate in any way to Allstate or anyone allegedly acting on its behalf making telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities where the person's telephone number was registered on a Do Not Call registry for more than 31 days before the first call.

If you have any questions about the Release or what it means, you can speak to Class Counsel, listed under Question 6, for free; or, at your own expense, you may talk to your own lawyer. The Release does not apply to persons in the Settlement Class who timely exclude themselves.

## HOW TO OBTAIN A PAYMENT

### 11. How can I get a payment?

To receive a payment, you must timely submit a properly completed Claim Form. You may submit a Claim Form on the Settlement Website: [www.\\_\\_\\_\\_settlement.com](http://www.____settlement.com), or by calling the Toll-Free Settlement Hotline, 1-\_\_\_\_. **Read the instructions carefully, fill out the form completely and accurately, sign it and submit by the deadline.** A Claim Form may be submitted by mail to the Settlement Administrator at: \_\_\_\_\_ TCPA Settlement, c/o \_\_\_\_\_, or via the Settlement Website [click here]. To be deemed timely, Claim Forms must be submitted via the Settlement Website, or postmarked prior to or on the last day of the Claim Filing Deadline, which is \_\_\_\_\_, 2022.

## WHEN WILL I RECEIVE MY SETTLEMENT PAYMENT?

### 12. When will I receive a settlement payment?

The Court will hold a hearing on \_\_\_\_\_, 2022 to decide whether to approve the Settlement. If the Court approves the Settlement, after that, there may be appeals. It is always uncertain whether these appeals can be resolved, and resolving them can take time, perhaps more than a year. Everyone who sends in a Claim Form will be informed of the progress of the settlement through information posted on the Settlement Website at [www.\\_\\_\\_\\_settlement.com](http://www.____settlement.com). Please be patient.

## EXCLUDING YOURSELF FROM THE SETTLEMENT

### 13. How do I get out of the settlement?

If you want to keep the right to sue, or continue to sue Allstate or a Released Party, as defined in the Settlement Agreement, then you must take steps to exclude yourself from or “opt out” of the Settlement.

Persons in the Settlement Class may request exclusion from the Settlement by sending a written request to the Claims Administrator at the address designated in the Class Notice no later than the Opt-Out and Objection Deadline. Exclusion requests must: (i) be signed by the person in the Settlement Class who is requesting exclusion; (ii) include the full name and address of the person in the Settlement Class requesting exclusion; and (iii) include the following statement: **“I/we request to be excluded from the settlement in the Allstate TCPA action.”**

No request for exclusion will be valid unless all of the information described above is included. No person in the Settlement Class, or any person acting on behalf of or in concert or participation with that person in the Settlement Class, may exclude any other person in the Settlement Class from the Settlement Class.

**To be valid, you must mail your exclusion request postmarked no later than \_\_\_\_\_, 2022 to the claims administrator at \_\_\_\_\_ Settlement, c/o \_\_\_\_\_.**

**14. If I do not exclude myself, can I sue Allstate for the same thing later?**

No. If you do not exclude yourself, you give up any right to sue (or continue to sue) Allstate or any Released Parties for the claims that this Settlement resolves.

**15. If I exclude myself, can I get a benefit from this settlement?**

No. If you exclude yourself, you will not be able to submit a Claim Form for a settlement payment and you cannot object to the settlement.

**OBJECTING TO THE SETTLEMENT**

**16. How do I tell the Court that I do not think the settlement is fair?**

If you are in the Settlement Class, you can object to the Settlement or any part of the Settlement that you think the Court should reject, and the Court will consider your views.

To object, the Settlement Class Member must make any objection in writing and file it with the Court by the Opt-Out and Objection Deadline. An objection must:

- (A) Attach documents establishing, or provide information sufficient to allow the Parties to confirm, that the objector is a Settlement Class Member, including providing the Claim ID, full name, address, the telephone number called, and whether he or she intends to appear at the fairness hearing on his or her own behalf or through counsel;
- (B) Include a statement of such Settlement Class Member's specific objections; and
- (C) State the grounds for objection and attach any documents supporting the objection.

**To be considered, you must file your objections with the Court and mail your objections to the addresses below no later than \_\_\_\_\_, 2022.**

For Plaintiff:

Keith J. Keogh, Esq.  
Keogh Law, Ltd.  
55 Monroe St., 3390  
Chicago, IL 60603

For Defendant:

Lewis Wiener, Esq.  
Frank Nolan, Esq.  
Eversheds Sutherland (US) LLP  
700 6th Street, NW,  
Washington, DC 20001

Any Settlement Class Member who fails to comply with the provisions set forth above shall waive and forfeit any and all rights to appear separately and/or to object, and shall be bound

by all the terms of this Settlement, and by all proceedings, orders, and judgments in the litigation.

**17. What is the difference between objecting and excluding yourself?**

Excluding yourself means that you do not want to be a Settlement Class Member and participate in the Settlement. If you exclude yourself, you have no basis to object because the case no longer affects you. Objecting is telling the Court that you do not like something about the Settlement. You can object only if you do not exclude yourself from the Settlement.

**IF YOU DO NOTHING**

**18. What happens if I do nothing at all?**

If you do nothing, you will not receive any monetary award and you will give up your rights to sue Allstate or any other Released Parties related to any Released Claims. For information relating to what rights you are giving up, see Question 10.

**THE FINAL APPROVAL HEARING**

**19. When and where will the Court decide whether to approve the settlement?**

The Court will hold a Final Approval Hearing at \_\_\_\_:00 a.m. on \_\_\_\_\_, 2022 in Courtroom 1241, at the Everett McKinley Dirksen United States Courthouse, 219 South Dearborn Street, Chicago, IL 60604, which may be conducted by remote or electronic means. At this hearing, the Court will consider whether the settlement is fair, reasonable and adequate. If there are valid objections that comply with the requirements in Question 16 above, the Court also will consider them and will listen to people who have asked to speak at the hearing. The Court may also decide how much to pay to Class Counsel and Plaintiff.

The Final Approval Hearing may be moved to a different date or time without additional notice, so it is a good idea to check the Settlement Website for updates.

**20. Do I have to come to the hearing?**

No. Class Counsel will appear on behalf of the Settlement Class Members. But, you are welcome to come, or have your own lawyer appear, at your own expense.

**21. May I speak at the hearing?**

You may ask the Court for permission to speak at the Final Approval Hearing, but only in connection with an objection that you have timely submitted to the Court according to the procedure set forth in Question 16 above. A Settlement Class Member or his or her attorney intending to make an appearance at the Fairness Hearing must: (i) file a notice of appearance with the Court no later than twenty (20) days prior to the Fairness Hearing, or as the Court may

otherwise direct; and (ii) serve a copy of such notice of appearance on all counsel for all Parties. The document must be filed with the Court no later than \_\_\_\_\_, 2022. You cannot speak at the hearing if you exclude yourself from the settlement.

## GETTING MORE INFORMATION

### 22. How do I get more information?

This notice is only a summary of the proposed settlement. You can get a copy of the settlement agreement by visiting the Settlement Website, [www.settlement.com](http://www.settlement.com), or you can write to the address below or call the Toll-Free Settlement Hotline, \_\_\_\_\_. You can also call Class Counsel with any questions at **866.726.1092**.

**DO NOT CALL OR WRITE TO THE COURT, THE CLERK OF THE COURT, ALLSTATE INSURANCE COMPANY OR ALLSTATE INSURANCE COMPANY'S COUNSEL ABOUT THE SETTLEMENT. ALSO, TELEPHONE REPRESENTATIVES WHO ANSWER CALLS MADE TO THE TOLL-FREE NUMBER ARE NOT AUTHORIZED TO CHANGE THE TERMS OF THE SETTLEMENT OR THIS NOTICE.**



**EXHIBIT 2**

**FINAL APPROVAL ORDER**



The Court having held a Final Approval Hearing on \_\_\_\_\_, 2022, notice of the hearing having been duly given in accordance with this Court's Order (1) Preliminarily Approving Class Action Settlement, (2) Conditionally Certifying a Settlement Class, (3) Approving Notice Plan and (4) Setting Final Approval Hearing (the "Preliminary Approval Order"), and having considered all matters submitted to it at the Final Approval Hearing and otherwise, and finding no just reason for delay in entry of this Final Approval Order and good cause appearing therefore,

It is hereby ORDERED, ADJUDGED AND DECREED as follows:

1. The Settlement Agreement and Release dated xxxxxx XX, 2022, including its Exhibits (the "Agreement"), and the definition of words and terms contained therein, are incorporated by reference and are used hereafter. The terms and definitions of this Court's Preliminary Approval Order (Dkt. No.\_\_\_\_) are also incorporated by reference into this Final Approval Order.

2. This Court has jurisdiction over the subject matter of the Action and over the Parties, including all Settlement Class Members with respect to the Settlement Class certified for settlement purposes in this Court's Preliminary Approval Order, as follows:

The approximately 7,451 individuals to whom: (a) Allstate or anyone allegedly acting on its behalf made at least two telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities within a 12-month period (b) promoting Allstate insurance (c) between October 8, 2015 and present (d) where the person's telephone number was registered on a Do Not Call registry for more than 31 days before the first call.

Excluded from the Settlement Class are the Judge to whom the Action is assigned and any member of the Court's staff and immediate family (to the extent they received a listed call), all persons who have opted-out of the Settlement Class pursuant to the requirements set forth in Section **13.1** of the Settlement Agreement, and individuals whose claims would be or are included in any other settlement.

3. The Court hereby finds that the Agreement is the product of arm's length settlement negotiations between Plaintiff and Allstate that resulted from a mediation on February 10, 2022,

with retired Magistrate Judge Morton Denlow who has extensive experience mediating class actions including those brought pursuant to the TCPA.

4. The Court hereby finds and concludes that Class Notice was disseminated to persons in the Settlement Class consistent and in accordance with the terms of the Agreement and that the Class Notice and its dissemination were in compliance with this Court's Preliminary Approval Order.

5. The Court further finds and concludes that the Class Notice and claims submission procedures set forth in the Agreement fully satisfy Rule 23 of the Federal Rules of Civil Procedure and the requirements of due process, were the best notice practicable under the circumstances, provided due and sufficient individual notice to all persons in the Settlement Class who could be identified through reasonable effort and support the Court's exercise of jurisdiction over the Settlement Class as contemplated in the Agreement and this Final Approval Order.

6. There were no objections to the Agreement *or* [For the reasons stated on the record, as well as the reasons set forth in Plaintiff's and Allstate's respective pleadings, the Court overrules all objections to the Agreement.]

7. If Applicable [The Court finds that \_\_\_\_\_ is/are not class members and have no standing to object to the Settlement Agreement.]

8. The Court hereby fully and finally approves the Agreement and finds that the terms constitute, in all respects, a fair, reasonable and adequate settlement as to all Settlement Class Members in accordance with Rule 23 of the Federal Rules of Civil Procedure.

9. The Court hereby fully and finally certifies the Settlement Class for settlement purposes. The Court finds for settlement purposes that the Action satisfies all the requirements of Rule 23 of the Federal Rules of Civil Procedure.

10. The Court hereby approves the plan of distribution for the Settlement Fund as set forth in the Agreement. The Claims Administrator is hereby ordered to comply with the terms of the Agreement with respect to distribution of Settlement Awards and disposition of any Remaining Funds thereafter. Should any Remaining Funds be distributed, the Court hereby approves \_\_\_\_\_ as the *cy pres* recipient(s) who shall receive an equal distribution. The Court finds this/these organization(s) is/are closely aligned with the class' interests.

11. This Court hereby dismisses this Action, with prejudice, without costs to any party, except as expressly provided for in the Agreement.

12. As of the Effective Date, the Plaintiff and each and every one of the Settlement Class Members unconditionally, fully and finally release and forever discharge the Released Parties from the Released Claims as fully set forth in the Agreement.

13. The Agreement (including any and all exhibits attached thereto) and any and all negotiations, documents, and discussions associated with it are subject to Rule 408 of the Federal Rules of Evidence and will not be deemed or construed to be an admission or evidence of any violation of any statute, law, rule, regulation or principle of common law or equity, or of any liability or wrongdoing by Allstate, or the truth of any of the claims. Evidence relating to the Agreement will not be discoverable or used, directly or indirectly, in any way, whether in the Action or in any other action or proceeding, except for purposes of demonstrating, describing, implementing or enforcing the terms and conditions of the Agreement, the Preliminary Approval Order and/or this Final Approval Order.

14. If for any reason whatsoever this Settlement fails to become effective for any reason, the certification of the Settlement Class shall be void and the Parties and the Action will return to the status quo as it existed prior to the Agreement. The Agreement shall be of no force and effect and the Parties' rights and defenses shall be restored, without prejudice, to their respective positions as if this Agreement had never been executed, and any orders entered by the Court in connection with this Agreement shall be vacated. However, any payments made to the

Claims Administrator for services rendered to the date of termination shall not be refunded by Allstate.

15. Moreover, in the event that the Settlement fails to become effective for any reason, the money remaining in the Settlement Fund (including accrued interest), less reasonable expenses incurred or due and owing and payable from the Settlement Fund in accordance with the Agreement, shall be returned to Allstate within 15 days of the event that causes the Agreement to not become effective.

16. In the event that any provision of the Agreement or this Final Approval Order is asserted by Allstate as a defense in whole or in part to any claim, or otherwise asserted (including, without limitation, as a basis for a stay) in any other suit, action or proceeding brought by a Settlement Class Member or any person actually or purportedly acting on behalf of any Settlement Class Member(s), that suit, action or other proceeding shall be immediately stayed and enjoined until this Court or the court or tribunal in which the claim is pending has determined any issues related to such defense or assertion. Solely for purposes of such suit, action or other proceeding, to the fullest extent they may effectively do so under applicable law, the Parties irrevocably waive and agree not to assert, by way of motion, as a defense or otherwise, any claim or objection that they are not subject to the jurisdiction of the Court, or that the Court is, in any way, an improper venue or an inconvenient forum. These provisions are necessary to protect the Agreement, this Final Approval Order and this Court's authority to effectuate the Agreement, and are ordered in aid of this Court's jurisdiction and to protect its judgment.

17. By incorporating the Agreement and its terms herein, the Court determines that this Final Approval Order complies in all respects with Federal Rule of Civil Procedure 65(d)(1).

18. Class Counsel have moved pursuant to FED. R. CIV. P. 23(h) and 52(a) for an award of attorneys' fees and reimbursement of expenses. Pursuant to Rules 23(h)(3) and 52(a) this Court makes the following findings of fact and conclusions of law:

(a) that the Class Settlement confers substantial benefits on the Settlement Class Members;

(b) that the value conferred on the Settlement Class is immediate and readily quantifiable upon this Judgment becoming Final (as defined in the Agreement), Settlement Class Members who have submitted valid Settlement Claim Certification Forms will receive cash payments that represent a significant portion of the damages that would be available to them were they to prevail in an individual action under the Telephone Consumer Protections Act (“TCPA”);

(c) that Class Counsel vigorously and effectively pursued the Settlement Class Members’ claims before this Court in this complex case;

(d) that the Class Settlement was obtained as a direct result of Class Counsel’s advocacy;

(e) that the Class Settlement was reached following extensive negotiation between Class Counsel and Counsel for Allstate, and was negotiated in good-faith and in the absence of collusion;

(f) that Settlement Class Members were advised in the Class Notice approved by the Court that Class Counsel intended to apply for an award of attorneys’ fees in the amount in an amount of up to \$\_\_\_\_\_ and expenses in the amount of up to \$\_\_\_\_ incurred in the prosecution of the Litigation, to be paid from the Settlement Fund;

(g) that \_\_\_\_\_ member(s) of the Settlement Class has (have) submitted written objection(s) to the award of attorneys’ fees and expenses;

(h) that counsel who recover a common benefit for persons other than himself or his client is entitled to a reasonable attorneys’ fee from the Settlement Fund as a whole. *See, e.g., Boeing Co. v. Van Gemert*, 444 U.S. 472, 478 (1980); *Sutton v. Bernard*, 504 F.3d 688, 691 (7th

Cir. 2007) (“the attorneys for the class petition the court for compensation from the settlement or common fund created for the class’s benefit”); and accordingly, Class Counsel are hereby awarded \$ \_\_\_\_\_ for attorneys’ fees and \$ \_\_\_\_\_ for reimbursed expenses from the Settlement Fund, which the Court finds to be fair and reasonable, and which amount shall be paid to Class Counsel from the Settlement Fund in accordance with the terms of the Agreement.

14. The Class Representative, as identified in the Preliminary Approval Order, is hereby compensated in the amount of \$ \_\_\_\_\_ for his efforts in this case. *See Cook v. Niedert*, 142 F.3d 1004, 1016 (7th Cir. 1998) (recognizing that “because a named plaintiff is an essential ingredient of any class action, an incentive award is appropriate if it is necessary to induce an individual to participate in the suit”); *In re Synthroid Mkt. Litig.* (“*Synthroid I*”), 264 F.3d 722, (7th Cir. 2001) (“Incentive awards are justified when necessary to induce individuals to become named representatives.”).

**IT IS SO ORDERED,  
ADJUDGED AND DECREED.**

Dated: \_\_\_\_\_

\_\_\_\_\_  
Honorable Sharon J. Coleman

**EXHIBIT 3**  
**MAIL NOTICE**

**NOTICE OF CLASS ACTION LAWSUIT AND PROPOSED SETTLEMENT**

THE COURT AUTHORIZED THIS NOTICE. THIS IS NOT A SOLICITATION FROM A LAWYER.

*Gebka v. Allstate Insurance Company,*  
USDC for the Northern District of Illinois Eastern Division, Case  
No. 1:19-cv-06662

**Estimated payout of \$1,000 based on up to \$500 per call.**

<b>What is this?</b>	This is notice of a Proposed Settlement in a class action lawsuit.
<b>What is this lawsuit about?</b>	The Settlement would resolve a lawsuit brought on behalf of a putative class of individuals, alleging Defendant Allstate Insurance Company (“Allstate”), violated the Telephone Consumer Protection Act, 47 U.S.C. § 227 (“TCPA”) by placing or being responsible for the placing two or more calls promoting Allstate insurance where the person’s telephone number was registered on a Do Not Call registry. The Court has not ruled on the merits of Plaintiff’s claims or Allstate’s defenses.
<b>Why am I getting this notice?</b>	You were identified as someone who may have received two or more of these calls based on call records compiled in the case.
<b>What does the Settlement provide?</b>	Allstate agreed to pay a total of (and no more than) \$4,500,000 into a Settlement Fund, inclusive of the cost of notice and administration of the settlement, Settlement Class members’ claims, attorneys’ fees and expenses incurred by counsel for Plaintiff and the Settlement Class (“Class Counsel”), and any service award for Plaintiff. Class Counsel

	<p>estimates that a Settlement Class member who submits a valid and timely claim form (“Claim Form”) may receive a cash award of \$1,000 based on \$500 a telephone call. Plaintiff will petition for a service award not to exceed \$_____ for Plaintiff’s work in representing the Class and Class Counsel’s fees up to ___% of the settlement fund after administration expenses, which is \$_____ plus reasonable expenses.</p>
<p><b>How can I receive a payment from the Settlement?</b></p>	<p>To receive payment, you must submit a Claim Form postmarked by and no later than _____, 2022. You can also use your Claim Id on this notice to submit a Claim Form online at <a href="http://www.____settlement.com">www.____settlement.com</a>, or by phone at 800 ____ IVR requires confirmation and you will need to press a button for electronic signature, which is recognized by e-sign act), or mail the attached Claim Form, but it must be postmarked by the above deadline.</p>
<p><b>Do I have to be included in the Settlement?</b></p>	<p>If you do not want monetary compensation from this Settlement and you want to keep the right to sue, or continue to sue Allstate on your own, then you must exclude yourself from the Settlement by sending a letter to the address below requesting exclusion to the Settlement Administrator by no later than _____, 2022. The letter must contain the specific information set forth on the Settlement Website “Opt-Out Process” At <a href="http://www.____settlement.com">www.____settlement.com</a>. If you cannot access the Settlement Website, please contact the Settlement Administrator to obtain information about the Opt-Out Process.</p>

<p><b>If I don't like something about the Settlement, how do I tell the Court?</b></p>	<p>If you do not exclude yourself from the Settlement, you can object to any part of the Settlement. You must file your written objection with the Court by no later than _____, 2022, and mail a copy to both Class Counsel and defense counsel. Your written objection must contain the specific information set forth on the Settlement Website "Objection Process" at <a href="http://www._____.settlement.com">www._____.settlement.com</a>. If you cannot access the Settlement Website, please contact the Settlement Administrator to obtain information about the Objection Process. The Court will hold a hearing on _____ at _____ to consider whether to approve the Settlement as fair, reasonable and adequate. You or your lawyer may appear at the hearing and speak at your own expense.</p>
<p><b>What if I do nothing?</b></p>	<p>If you do nothing, you will not be eligible for a monetary payment, but you will still be a Settlement Class member and bound by the Settlement, and you will release Allstate from any and liability as set forth in the Settlement Agreement. If you would like a copy of the Settlement Agreement, please visit the Settlement Website or contact the Settlement Administrator. A class judgment approving the Settlement is binding on the members of the class.</p>
<p><b>How do I get more information about the Settlement?</b></p>	<p>This notice contains limited information about the Settlement. For more information, to view additional Settlement documents, and to review information regarding your opt-out and objection rights and the final approval hearing, visit <a href="http://www._____.settlement.com">www._____.settlement.com</a>. You can also</p>

	obtain additional information by calling  or Class Counsel at 866.726.1092.
--	--

GEBKA TCPA SETTLEMENT

[INSERT CLAIMS ADMIN]

[INSERT CLAIMS ADMIN ADDRESS]

[CLAIM ID IN DIGITS]

[CLAIM ID IN 2D BARCODE]

Postal Service: Please Do Not Mark or Cover Barcode

[FIRST1] [LAST1]

[BUSINESSNAME]

[ADDR1] [ADDR2]

[CITY] [ST] [ZIP]



**EXHIBIT 4**

**PRELIMINARY APPROVAL ORDER**

**IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

THOMAS GEBKA, Individually and on	)	
behalf of all others similarly situated,	)	
	)	
Plaintiff,	)	Case No. 1:19-cv-06662
	)	
v.	)	Hon. Sharon J. Coleman
	)	
ALLSTATE INSURANCE COMPANY,	)	Mag. Jeffrey I. Cummings
	)	
	)	
Defendant.	)	

**[PROPOSED] ORDER (1) CONDITIONALLY CERTIFYING A SETTLEMENT CLASS, (2) PRELIMINARILY APPROVING CLASS ACTION SETTLEMENT, (3) APPROVING NOTICE PLAN AND (4) SETTING FINAL APPROVAL HEARING**

This matter came before the Court on Plaintiff's Motion for Preliminary Approval of the proposed class action settlement (the "Settlement") of the case styled and captioned Gebka v. Allstate Insurance Company, United States District Court for the Northern District of Illinois Eastern Division Case No. 1:19-cv-06662 (the "Action"). The Action was brought by Plaintiff Thomas Gebka ("Gebka" or "Class Representative"), individually and on behalf of all others similarly situated, against defendant Allstate Insurance Company ("Allstate" and, together with Plaintiffs, the "Parties"). Based on this Court's review of the Parties' Settlement Agreement and Release (the "Agreement"), Plaintiff's Motion for Preliminary Approval of Settlement, and the arguments of counsel and good cause having been shown, THE COURT HEREBY FINDS AND ORDERS AS FOLLOWS:

19. Settlement Terms. Unless otherwise defined herein, all terms in this Order shall have the meanings ascribed to them in the Agreement.

20. Jurisdiction. The Court has jurisdiction over the subject matter of the Action, the Parties, and all persons in the Settlement Class.

21. Scope of Settlement. The Agreement resolves all claims that arise out of or relate in any way to Allstate or anyone allegedly acting on its behalf making telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities where the person's telephone number was registered on a Do Not Call registry for more than 31 days before the first call. The Settlement Class does not include any claims for Prerecorded calls that were alleged in the Second Amended Complaint, other than the individual claims of Gebka.

22. Preliminary Approval of Proposed Agreement. The Court has conducted a preliminary evaluation of the Settlement as set forth in the Agreement. Based on this preliminary evaluation, the Court finds that: (a) the Agreement is fair, reasonable and adequate, and within the range of possible approval; (b) the Agreement has been negotiated in good faith at arm's length between experienced attorneys familiar with the legal and factual issues of this case; and (c) with

respect to the forms of notice of the material terms of the Settlement to persons in the Settlement Class for their consideration (Exs. 1, 3 and 5 to the Agreement), that notice is appropriate and warranted. Therefore, the Court grants preliminary approval of the Settlement.

23. Class Certification for Settlement Purposes Only. The Court, pursuant to Rule 23 of the Federal Rules of Civil Procedure, conditionally certifies, for purposes of this Settlement only, the following Settlement Class:

The approximately 7,451 individuals to whom: (a) Allstate or anyone allegedly acting on its behalf made at least two telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities within a 12-month period (b) promoting Allstate insurance (c) between October 8, 2015 and present (d) where the person's telephone number was registered on a Do Not Call registry for more than 31 days before the first call.

Excluded from the Settlement Class are the Judge to whom the Action is assigned and any member of the Court's staff and immediate family (to the extent they received a listed call), all persons who have opted-out of the Settlement Class pursuant to the requirements set forth in Section 13.1 of the Settlement Agreement, and individuals whose claims would be or are included in any other settlement.

24. In connection with this conditional certification, the Court makes the following preliminary findings:

(a) The Settlement Class consists of over 7,000 natural persons and is so numerous that joinder of all members is impracticable;

(b) There appear to be questions of law or fact arising under the TCPA common to the Settlement Class for purposes of determining whether the Settlement should be approved;

(c) Plaintiff's claims appear to be typical of the claims being resolved through the Settlement;

(d) Plaintiff appears to be capable of fairly and adequately protecting the interests of all members of the Settlement Class in connection with the Settlement;

(e) For purposes of determining whether the Agreement is fair, reasonable and adequate, common questions of law and fact appear to predominate over questions affecting only

individual persons in the Settlement Class. Accordingly, the Settlement Class appears to be sufficiently cohesive to warrant settlement by representation; and

(f) For purposes of the Settlement, certification of the Settlement Class appears to be superior to other available methods for the fair and efficient settlement of the claims of the Settlement Class.

25. Class Representative. The Court appoints Thomas Gebka to act as the representative of the Settlement Class pursuant to Rule 23 of the Federal Rules of Civil Procedure.

26. Class Counsel. The Court appoints Keogh Law, Ltd., as Class Counsel pursuant to Rule 23 of the Federal Rules of Civil Procedure.

27. Final Approval Hearing. At \_\_\_\_\_ .m. (central) on \_\_\_\_\_, 2022, in Room 1241, Everett McKinley Dirksen United States Courthouse, 219 South Dearborn Street, Chicago, IL 60604, or at such other date and time later set by Court Order, this Court will hold a Final Approval Hearing on the fairness, adequacy, and reasonableness of the Agreement and to determine whether (a) final approval of the Settlement embodied by the Agreement should be granted, and (b) Class Counsel's application for attorneys' fees and expenses, and service award to Plaintiff, should be granted, and in what amount. On the Notice Date set forth below, Plaintiff must file papers in support of Class Counsel's application for attorneys' fees and expenses and the service award to the Plaintiff. No later than \_\_\_\_\_, 2022, which is fourteen (14) days prior to the Final Approval Hearing, papers in support of final approval of the Settlement and response to any written objections must be filed.

28. Settlement Claims Administrator. \_\_\_\_\_ is hereby appointed as the Claims Administrator and shall be required to perform all the duties of the Claims Administrator, as set forth in the Agreement and this Order.

29. Class Notice. The Court approves the proposed plan for giving notice to the Settlement Class by directly mailing two rounds of post cards with attached claim forms and a reminder E-mail notice, combined with a Settlement Website, as more fully described in Plaintiff's Motion and the Agreement ("Notice Plan"). The Notice Plan, in form, method and content, complies with the requirements of Rule 23 of the Federal Rules of Civil Procedure and due process, and constitutes the best notice practicable under the circumstances. The Court hereby directs the Parties and the Claims Administrator to complete all aspects of the Notice Plan no later than \_\_\_\_\_, 2022 ("Notice Deadline").

30. The Claims Administrator will file with the Court by no later than \_\_\_\_\_, 2022, which is fourteen (14) days prior to the Final Approval Hearing, proof that notice was provided in accordance with the Agreement and this Order.

31. Opt-Out and Objection Deadline. Persons in the Settlement Class who wish to either object to the Settlement or request exclusion from the Settlement Class must do so by \_\_\_\_\_, 2022, which is sixty (60) calendar days after the Notice Deadline. Persons in the Settlement Class may not both object and opt-out. If a person both requests to opt-out and objects, the request to opt-out will control.

32. Exclusion from the Settlement Class. To request exclusion from the Settlement Class, a person in the Settlement Class must send a written request to the Claims Administrator at the address designated in the Class Notice no later than the Opt-Out and Objection Deadline. Exclusion requests must: (i) be signed by the person in the Settlement Class who is requesting exclusion; (ii) include the full name and address of the person in the Settlement Class requesting exclusion; and (iii) include the following statement: "I/we request to be excluded from the settlement in the Allstate TCPA action." No request for exclusion will be valid unless all of the

information described above is included. No person in the Settlement Class, or any person acting on behalf of or in concert or participation with that person in the Settlement Class, may exclude any other person in the Settlement Class from the Settlement Class. In the event that the number of persons in the Settlement Class who validly and timely submit opt-out requests exceeds forty (40) class members, Allstate shall have the right, but not the obligation, to terminate this Agreement.

33. The Claims Administrator will retain a copy of all requests for exclusion. Not later than fourteen (14) days before the Final Approval Hearing, the Claims Administrator will file under seal with the Court a declaration that lists all of the exclusion requests received.

34. If a timely and valid exclusion request is made by a person in the Settlement Class, then the Agreement and any determinations and judgments concerning the Settlement will not bind the excluded person.

35. All Settlement Class Members will be bound by all determinations and judgments concerning the Settlement.

36. Objections to the Settlement. To object to the Settlement, Class Members must follow the directions below and in the Class Notice and file a written objection with the Court by the Opt-Out and Objection Deadline. The objection must also be mailed to each of the following, postmarked no later than the last day to file the objection: (i) Class Counsel – Keogh Law, Ltd., 55 W. Monroe, Ste. 3390, Chicago, Illinois 60603; and (ii) Allstate’s Counsel – Eversheds Sutherland (US) LLP, c/o Lewis Wiener and Frank Nolan, 700 6th Street, NW, Washington, DC 20001. An objection must: (A) Attach documents establishing, or provide information sufficient to allow the Parties to confirm, that the objector is a Settlement Class Member, including providing the Claim ID, full name, address, the cellular telephone number called, and whether he or she

intends to appear at the fairness hearing on his or her own behalf or through counsel; (B) Include a statement of such Settlement Class Member's specific objections; and (C) State the grounds for objection and attach any documents supporting the objection. Any Settlement Class Member who fails to comply with Paragraph 18 will not be permitted to object to the Settlement at the Final Approval Hearing, will be foreclosed from seeking any review of the Settlement by appeal or other means, will be deemed to have waived his, her or its objections, and will be forever barred from making any objections in the Action or any other related action or proceeding. All Settlement Class Members will be bound by all determinations and judgments in the Action, whether favorable or unfavorable to the Settlement Class.

37. For any objection filed, the Clerk of the Court is ordered to redact any social security number, the street address, telephone number and last name except first letter of last name in order to protect the objector's privacy. The objector's first name and city, state and zip code, as well as the objection, will not be redacted.

38. Stay of Other Proceedings. Pending the final determination of whether the Settlement should be approved, all pre-trial proceedings and briefing schedules in the Action are stayed.

39. Pending the final determination of whether the Settlement should be approved or until a class member validly excludes themselves from the Settlement Class, Plaintiff and all persons in the Settlement Class are hereby stayed and enjoined from commencing, pursuing, maintaining, enforcing or prosecuting, either directly or indirectly, any Released Claims in any judicial, administrative, arbitral or other forum, against any of the Released Parties. Such injunction will remain in force until the Court enters the Final Approval Order or until such time as the Parties notify the Court that the Settlement has been terminated. Nothing herein will prevent

any person in the Settlement Classes, or any person actually or purportedly acting on behalf of any such person(s), from taking any actions to stay or dismiss any Released Claim(s). This injunction is necessary to protect and effectuate the Agreement, this Preliminary Approval Order, and the Court's flexibility and authority to effectuate the Agreement and to enter judgment when appropriate, and is ordered in aid of this Court's jurisdiction and to protect its judgments. This injunction does not apply to any person who requests exclusion from the Settlement.

40. If for any reason whatsoever this Settlement is not finalized or the Settlement as detailed in the Agreement is not finally approved by the Court, the certification of the Settlement Class shall be void and the Parties and the Action will return to the status quo as it existed prior to the Agreement, and no doctrine of waiver, estoppel or preclusion will be asserted in any proceedings, in response to any motion seeking class certification, any motion seeking to compel arbitration or otherwise asserted at any other stage of the Action or in any other proceeding. No agreements, documents or statements made by or entered into by any Party in connection with the Settlement may be used by Plaintiff, any person in the proposed Settlement Class, Allstate or any other person to establish liability, any defense and/or any of the elements of class certification, whether in the Action or in any other proceeding.

41. In the event that the Settlement is not approved, or is terminated, canceled or fails to become effective for any reason, the money remaining in the Settlement Fund (including accrued interest), less reasonable administrative expenses incurred or due and owing and payable from the Settlement Fund in accordance with the Agreement, shall be returned to Allstate within 15 days of the event that causes the Agreement to not become effective.

42. No Admission of Liability. The Agreement and any and all negotiations, documents, and discussions associated with it, will not be deemed or construed to be an admission

or evidence of any violation of any statute, law, rule, regulation or principle of common law or equity, or of any liability or wrongdoing by Allstate, or the truth of any of the claims. Evidence relating to the Agreement will not be discoverable or used, directly or indirectly, in any way, whether in the Action or in any other action or proceeding, except for purposes of demonstrating, describing, implementing or enforcing the terms and conditions of the Agreement, this Order, and the Final Approval Order.

43. Reasonable Procedures to Effectuate the Settlement. Counsel are hereby authorized to use all reasonable procedures in connection with approval and administration of the Settlement that are not materially inconsistent with this Order or the Agreement, including making, without further approval of the Court, minor changes to the form or content of the Class Notice and Claim Form and other exhibits that they jointly agree are reasonable and necessary. The Court reserves the right to approve the Agreement with such modifications, if any, as may be agreed to by the Parties without further notice to persons in the Settlement Class.

44. Schedule of Future Events. Accordingly, the following are the deadlines by which certain events must occur:

_____, 2022 [45 days after the date of this Order]	Deadline for notice to be provided in accordance with the Agreement and this Order (Notice Deadline)
_____, 2022 [Notice Deadline]	Deadline for filing of Plaintiff’s Motion for Attorneys’ Fees and Costs and Service Award
_____, 2022 [60 days after the Notice Deadline]	Deadline to file objections or submit requests for exclusion (Opt-Out and Objection Deadline)
_____, 2022 [60 days after the Notice Deadline]	Deadline for Class Members to Submit a Claim Form (Claim Period)
_____, 2022 [30 days after the Opt-Out and Objection Deadline]	Deadline for Parties to file the following: (1) List of persons who made timely and proper requests for exclusion (under seal); (2) Proof of Class Notice; and

	(3) Motion and memorandum in support of final approval, including responses to any objections.
_____, 2022 at _____ .m. [No earlier than 149 days from the entry of this Order]	Final Approval Hearing

IT IS SO ORDERED.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Hon. Sharon J. Coleman  
United States District Judge

**EXHIBIT 5**  
**WEBSITE NOTICE**

***Gebka v. Allstate Insurance Company,***  
**Pending in the Northern District of Illinois Eastern Division, Case No. 1:19-cv-06662**

**If you received two or more calls promoting Allstate Insurance, you may be entitled up to \$500 per call with the average estimated payout being \$1,000.**

*A federal court authorized this Notice. This is not a solicitation from a lawyer.*

- **A proposed settlement will provide \$4,500,000.00 (the “Settlement Funds”) to fully settle and release claims of the Settlement Class, which is defined as:**

**The approximately 7,451 individuals to whom: (a) Allstate or anyone allegedly acting on its behalf made at least two telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities within a 12-month period (b) promoting Allstate insurance (c) between October 8, 2015 and present (d) where the person’s telephone number was registered on a Do Not Call registry for more than 31 days before the first call.**

**Excluded from the Settlement Class are the Judge to whom the Action is assigned and any member of the Court’s staff and immediate family (to the extent they received a listed call), and all persons who opt-out of or are otherwise excluded from the Settlement Class.**

- **Allstate denies Plaintiff’s allegations and any wrongdoing whatsoever. The Court has not ruled on the merits of Plaintiff’s claims or Allstate’s defenses. By entering into the settlement, Allstate has not conceded the truth or validity of any of the claims against it.**
- **The Settlement Funds shall be used to pay amounts related to the settlement, including awards to Settlement Class Members who submit a valid and timely claim form to receive payment (“Claim Form”), attorneys’ fees and costs to attorneys representing Plaintiff and the Settlement Class (“Class Counsel”), any service award for Plaintiff and the costs of notice and administration of the settlement. Class Counsel estimate that Settlement Class members who timely submit a valid Claim Form will receive up to \$500 per call with the average estimated payout being \$1,000, depending on the number of valid claims received. Any monies remaining in the Settlement Fund after the distribution of checks are distributed and the expiration date for negotiating those checks has passed will be distributed to \_\_\_\_\_ as cy pres. No Settlement Class Member will receive more than \$500 per call.**
- **Your rights and options, and the deadlines to exercise them, are explained in this Notice. Your legal rights are affected whether you act or do not act. Read this Notice carefully. Please refer to the Settlement Agreement, which contains defined terms used herein.**

**SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS**  
 (This chart summarizes your rights and options only; please see below for further information)

<b>SUBMIT A CLAIM FORM</b>	<p>If you submit a valid Claim Form on-line or postmarked by _____, 2022, you will receive a payment of up to \$500 per call and will give up your rights to sue Allstate and any other Released Parties related to a Released Claim. Claim Forms may be submitted by mail to _____ or through the settlement website by clicking [here] or by calling _____</p>
<b>EXCLUDE YOURSELF OR “OPT-OUT” OF THE SETTLEMENT</b>	<p>If you ask to be excluded from the class, you will not receive a payment. This is the only option that allows you to pursue your own claim(s) against Allstate or other Released Parties related to a Released Claim. The deadline for excluding yourself from the class is _____, 2022.</p>
<b>OBJECT TO THE SETTLEMENT</b>	<p>If you wish to object to the Settlement, you must write to the Court explaining why you believe the Settlement is unfair in any respect. The deadline for objecting is _____, 2022. To obtain a monetary benefit from this Settlement, you must still submit a Claim Form. If you submit only an objection without a Claim Form, you will not receive any monetary benefit from the Settlement and you will give up your rights to sue Allstate or any other Released Parties related to a Released Claim.</p>
<b>DO NOTHING</b>	<p>If you do nothing, you will not receive any monetary award and you will give up your rights to sue Allstate or any other Released Parties related to a Released Claim.</p>
<b>GO TO THE FINAL APPROVAL HEARING</b>	<p>You may attend the Final Approval Hearing. At the Final Approval Hearing you may ask to speak in Court about the fairness of the settlement. To speak at the Final Approval Hearing, you must file with the Court a document which includes your name, address, telephone number, your signature, and your intention to appear at the Final Approval Hearing. This must be filed no later than _____, 2022.</p>

- These rights and options—and the deadlines to exercise them—are explained in this Notice.
- The Court in charge of this case still has to decide whether to approve the settlement. Payments will be disbursed if the Court approves the settlement and after any appeals are resolved. Please be patient.

## BASIC INFORMATION

### 1. What is the purpose of this Notice?

**THE PURPOSE OF THIS NOTICE IS TO INFORM YOU THAT A PROPOSED SETTLEMENT HAS BEEN REACHED IN THE PUTATIVE CLASS ACTION LAWSUIT ENTITLED *GEBKA v. ALLSTATE INSURANCE COMPANY*, FILED IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION, CASE NO. 1:19-CV-06662. BECAUSE YOUR RIGHTS WILL BE AFFECTED BY THIS SETTLEMENT, IT IS EXTREMELY IMPORTANT THAT YOU READ THIS NOTICE CAREFULLY. THIS NOTICE SUMMARIZES THE SETTLEMENT AND YOUR RIGHTS UNDER IT.**

### 2. What does it mean if I received an email or postcard about this settlement?

If you received an email or postcard describing this settlement, it is because the call records compiled in this case indicate that you may be a member of the Settlement Class.

### 3. What is this class action lawsuit about?

In a class action, one or more people called Class Representatives (here, Plaintiff, Thomas Gebka) sues on behalf of people who allegedly have similar claims. This group is called a class and the persons included are called class members. One court resolves the issues for all of the class members, except for those who exclude themselves from the class.

Here, Plaintiff claims Allstate violated the Telephone Consumer Protection Act (“TCPA”) by placing or being responsible for the placing two or more calls promoting Allstate insurance where the person’s telephone number was registered on a Do Not Call registry. Allstate denies these allegations and any wrongdoing. The Court has conditionally certified a class action for settlement purposes only. The Honorable Sharon Coleman is the Judge in charge of this action.

### 4. Why is there a settlement?

The Court did not decide in favor of Plaintiff or Allstate. Instead, the parties agreed to this settlement. This way, the parties avoid the risk, uncertainty and cost of a trial, and the Settlement Class members will receive compensation. Plaintiff and Class Counsel think the settlement is best for all persons in the Settlement Class.

## WHO IS IN THE SETTLEMENT CLASS?

### 5. How do I know if I am a part of the settlement class?

The Court has certified a class action for settlement purposes only. The Settlement Class is defined as:

The approximately 7,451 individuals to whom: (a) Allstate or anyone allegedly acting on its behalf made at least two telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities within a 12-month period (b) promoting Allstate insurance (c) between October 8, 2015 and present (d) where the person's telephone number was registered on a Do Not Call registry for more than 31 days before the first call.

The approximately 7,451 persons have been identified by call records compiled in the litigation. If you are still not sure whether you are included, you can visit other sections of the Settlement Website, [www.\\_\\_\\_\\_Settlement.com](http://www.____Settlement.com), you may write to the claims administrator at \_\_\_\_\_ TCPA Settlement, c/o \_\_\_\_\_, or you may call the Toll-Free Settlement Hotline, 1-\_\_\_\_\_, for more information.

## THE LAWYERS REPRESENTING YOU

### 6. Do I have lawyers in this case?

The Court has appointed the law firm of Keogh Law, Ltd. as Class Counsel to represent you and the other persons in the Settlement Class. You will not be personally charged by these lawyers.

### 7. How will Class Counsel be paid?

Class Counsel will ask the Court to approve payment of up to \_\_\_% of the Settlement Fund after class administration costs, which is \$\_\_\_\_\_ for attorneys' fees, plus reasonable expenses. Class Counsel also will ask the Court to approve payment of \$\_\_\_\_\_ to Plaintiff for his services as Class Representative if permitted by law. The Court may award less than these requested amounts.

## THE SETTLEMENT BENEFITS – WHAT YOU GET

### 8. What does the settlement provide?

**Settlement Fund.** Allstate will make a one-time, all-inclusive payment of \$4,500,000 into a fund (the "Settlement Fund"), which will cover: (1) cash payments to Settlement Class Members who submit timely and valid Claim Forms; (2) an award of attorneys' fees and expenses to Class Counsel, plus expenses, as approved by the Court; (3) service award to the Plaintiff, Thomas Gebka, in an amount approved by the Court; and (4) the costs of notice and administration of the Settlement.

**Cash Payments.** All members of the Settlement Class are eligible to submit a Claim Form and receive a cash payment. To submit a Claim Form, follow the procedures described under Question 11 below. Settlement Class Members will have the option to select receipt of their Settlement Awards by either check or secure electronic payment. If no option is selected or the

secure electronic payment cannot be completed, the Settlement Award shall be paid by check. The Settlement Administrator shall send each Settlement Class Member their Settlement Award within 45 calendar days after the Effective Date.

#### 9. How much will my payment be?

Your share of the Settlement Fund will depend on the number of valid Claim Forms that Settlement Class Members submit and the number of calls you received. Class Counsel estimates that the amount of the cash award (while dependent upon the number of claims) will be \$1,000 based on \$500 per call. **This is an estimate only. The final cash payment amount will depend on the total number of valid and timely claims submitted by Settlement Class Members and the number of calls you received. No Settlement Class member will receive more than \$500 per call.**

#### 10. What am I giving up to stay in the Settlement Class?

Unless you exclude yourself from the Settlement, you will be a Settlement Class Member and will be bound by the release of claims in the Settlement. This means that if the Settlement is approved, you cannot rely on any Released Claim to sue, or continue to sue, Allstate or other Released Parties, on your own or as part of any other lawsuit, as explained in the Settlement Agreement. It also means that all of the Court's orders and rulings will apply to you and legally bind you. Unless you exclude yourself from the Settlement, you will agree to release Allstate and all other Released Parties from any and all of the Released Claims, as defined in the Settlement Agreement.

In summary, the Release includes Class Plaintiff and each and all Settlement Class Members, on behalf of themselves and their respective spouses, heirs, executors, administrators, representatives, agents, attorneys, partners, successors, predecessors-in-interest, assigns, and other person claiming through any of them, will be deemed to have fully released and forever discharged Allstate and the Released Parties from any and all claims, causes of action, suits, obligations, debts, demands, agreements, promises, liabilities, damages, losses, controversies, costs, expenses, and attorneys' fees of any nature whatsoever, whether based on any federal law such as the TCPA, state law, common law, territorial law, foreign law, contract, rule, regulation, any regulatory promulgation (including, but not limited to, any opinion or declaratory ruling), or in equity, whether known or unknown, suspected or unsuspected, asserted or unasserted, foreseen or unforeseen, actual or contingent, liquidated or unliquidated, punitive or compensatory, as of the date of the Final Approval Order, that arise out of or relate in any way to Allstate or anyone allegedly acting on its behalf making telephone calls based on leads provided by, through, and/or directed by Richardson Marketing Group and related entities where the person's telephone number was registered on a Do Not Call registry for more than 31 days before the first call.

If you have any questions about the Release or what it means, you can speak to Class Counsel, listed under Question 6, for free; or, at your own expense, you may talk to your own lawyer. The Release does not apply to persons in the Settlement Class who timely exclude themselves.

## HOW TO OBTAIN A PAYMENT

### 11. How can I get a payment?

To receive a payment, you must timely submit a properly completed Claim Form. You may submit a Claim Form on the Settlement Website: [www.\\_\\_\\_\\_settlement.com](http://www.____settlement.com), or by calling the Toll-Free Settlement Hotline, 1-\_\_\_\_. **Read the instructions carefully, fill out the form completely and accurately, sign it and submit by the deadline.** A Claim Form may be submitted by mail to the Settlement Administrator at: \_\_\_\_\_ TCPA Settlement, c/o \_\_\_\_\_, or via the Settlement Website [click here]. To be deemed timely, Claim Forms must be submitted via the Settlement Website, or postmarked prior to or on the last day of the Claim Filing Deadline, which is \_\_\_\_\_, 2022.

## WHEN WILL I RECEIVE MY SETTLEMENT PAYMENT?

### 12. When will I receive a settlement payment?

The Court will hold a hearing on \_\_\_\_\_, 2022 to decide whether to approve the Settlement. If the Court approves the Settlement, after that, there may be appeals. It is always uncertain whether these appeals can be resolved, and resolving them can take time, perhaps more than a year. Everyone who sends in a Claim Form will be informed of the progress of the settlement through information posted on the Settlement Website at [www.\\_\\_\\_\\_settlement.com](http://www.____settlement.com). Please be patient.

## EXCLUDING YOURSELF FROM THE SETTLEMENT

### 13. How do I get out of the settlement?

If you want to keep the right to sue, or continue to sue Allstate or a Released Party, as defined in the Settlement Agreement, then you must take steps to exclude yourself from or “opt out” of the Settlement.

Persons in the Settlement Class may request exclusion from the Settlement by sending a written request to the Claims Administrator at the address designated in the Class Notice no later than the Opt-Out and Objection Deadline. Exclusion requests must: (i) be signed by the person in the Settlement Class who is requesting exclusion; (ii) include the full name and address of the person in the Settlement Class requesting exclusion; and (iii) include the following statement: **“I/we request to be excluded from the settlement in the Allstate TCPA action.”**

No request for exclusion will be valid unless all of the information described above is included. No person in the Settlement Class, or any person acting on behalf of or in concert or participation with that person in the Settlement Class, may exclude any other person in the Settlement Class from the Settlement Class.

**To be valid, you must mail your exclusion request postmarked no later than \_\_\_\_\_, 2022 to the claims administrator at \_\_\_\_\_ Settlement, c/o \_\_\_\_\_.**

**14. If I do not exclude myself, can I sue Allstate for the same thing later?**

No. If you do not exclude yourself, you give up any right to sue (or continue to sue) Allstate or any Released Parties for the claims that this Settlement resolves.

**15. If I exclude myself, can I get a benefit from this settlement?**

No. If you exclude yourself, you will not be able to submit a Claim Form for a settlement payment and you cannot object to the settlement.

**OBJECTING TO THE SETTLEMENT**

**16. How do I tell the Court that I do not think the settlement is fair?**

If you are in the Settlement Class, you can object to the Settlement or any part of the Settlement that you think the Court should reject, and the Court will consider your views.

To object, the Settlement Class Member must make any objection in writing and file it with the Court by the Opt-Out and Objection Deadline. An objection must:

- (A) Attach documents establishing, or provide information sufficient to allow the Parties to confirm, that the objector is a Settlement Class Member, including providing the Claim ID, full name, address, the telephone number called, and whether he or she intends to appear at the fairness hearing on his or her own behalf or through counsel;
- (B) Include a statement of such Settlement Class Member's specific objections; and
- (C) State the grounds for objection and attach any documents supporting the objection.

**To be considered, you must file your objections with the Court and mail your objections to the addresses below no later than \_\_\_\_\_, 2022.**

For Plaintiff:

Keith J. Keogh, Esq.  
Keogh Law, Ltd.  
55 Monroe St., 3390  
Chicago, IL 60603

For Defendant:

Lewis Wiener, Esq.  
Frank Nolan, Esq.  
Eversheds Sutherland (US) LLP  
700 6th Street, NW,  
Washington, DC 20001

Any Settlement Class Member who fails to comply with the provisions set forth above shall waive and forfeit any and all rights to appear separately and/or to object, and shall be bound

by all the terms of this Settlement, and by all proceedings, orders, and judgments in the litigation.

**17. What is the difference between objecting and excluding yourself?**

Excluding yourself means that you do not want to be a Settlement Class Member and participate in the Settlement. If you exclude yourself, you have no basis to object because the case no longer affects you. Objecting is telling the Court that you do not like something about the Settlement. You can object only if you do not exclude yourself from the Settlement.

**IF YOU DO NOTHING**

**18. What happens if I do nothing at all?**

If you do nothing, you will not receive any monetary award and you will give up your rights to sue Allstate or any other Released Parties related to any Released Claims. For information relating to what rights you are giving up, see Question 10.

**THE FINAL APPROVAL HEARING**

**19. When and where will the Court decide whether to approve the settlement?**

The Court will hold a Final Approval Hearing at \_\_\_\_:00 a.m. on \_\_\_\_\_, 2022 in Courtroom 1241, at the Everett McKinley Dirksen United States Courthouse, 219 South Dearborn Street, Chicago, IL 60604, which may be conducted by remote or electronic means. At this hearing, the Court will consider whether the settlement is fair, reasonable and adequate. If there are valid objections that comply with the requirements in Question 16 above, the Court also will consider them and will listen to people who have asked to speak at the hearing. The Court may also decide how much to pay to Class Counsel and Plaintiff.

The Final Approval Hearing may be moved to a different date or time without additional notice, so it is a good idea to check the Settlement Website for updates.

**20. Do I have to come to the hearing?**

No. Class Counsel will appear on behalf of the Settlement Class Members. But, you are welcome to come, or have your own lawyer appear, at your own expense.

**21. May I speak at the hearing?**

You may ask the Court for permission to speak at the Final Approval Hearing, but only in connection with an objection that you have timely submitted to the Court according to the procedure set forth in Question 16 above. A Settlement Class Member or his or her attorney intending to make an appearance at the Fairness Hearing must: (i) file a notice of appearance with the Court no later than twenty (20) days prior to the Fairness Hearing, or as the Court may

otherwise direct; and (ii) serve a copy of such notice of appearance on all counsel for all Parties. The document must be filed with the Court no later than \_\_\_\_\_, 2022. You cannot speak at the hearing if you exclude yourself from the settlement.

## GETTING MORE INFORMATION

### 22. How do I get more information?

This notice is only a summary of the proposed settlement. You can get a copy of the settlement agreement by visiting the Settlement Website, [www.settlement.com](http://www.settlement.com), or you can write to the address below or call the Toll-Free Settlement Hotline, \_\_\_\_\_. You can also call Class Counsel with any questions at **866.726.1092**.

**DO NOT CALL OR WRITE TO THE COURT, THE CLERK OF THE COURT, ALLSTATE INSURANCE COMPANY OR ALLSTATE INSURANCE COMPANY'S COUNSEL ABOUT THE SETTLEMENT. ALSO, TELEPHONE REPRESENTATIVES WHO ANSWER CALLS MADE TO THE TOLL-FREE NUMBER ARE NOT AUTHORIZED TO CHANGE THE TERMS OF THE SETTLEMENT OR THIS NOTICE.**